



# January 2017 (2016.4.2) – Release Notes

Release Date: January 23, 2017

The purpose of these release notes are to provide a summary of enhancements included in January 2017 (2016.4.2) Release of NMLS.

General Enhancements			
SCR Number	Title	Description	Context
37678	Account Admin: Dormant account exception for non-control Indirect Owners	The rules for marking an individual record dormant in NMLS will be updated to prevent the record of an individual on a company filing named as a non-control Indirect Owners from being marked dormant This will eliminate the issue of preventing submission of a company filing due to a dormant individual record for a non-control Indirect Owner.	State
36218	Fee Management: Update Fee page not clearing editable fields after update	The editable fields on the <i>Update Fee</i> page (Supplemental Text and New Amount) will be cleared on the effective date of the change in NMLS.	State
37685	License Setting Management: License Settings future effective date control update	The License Settings function will be updated to allow a future effective date to be a minimum of one day, instead of three days.	State
37866	Surety Bonds: Bond Number display on all pages of bond	PDF functionality will be updated to display the bond on a new page from the bond details. This will result in most bonds being rendered on one page with the Bond Number displaying.	State
37702	Surety Bonds: Surety Company Signatory name change control	When any user (support, account admin, application admin or the user for the account) changes the name on the profile for a Surety Company Account Admin or Surety Company user with the Manage and Sign bonds role, the user making the change will be prompted to either proceed with the change or cancel if it is more appropriate to create a new user account. If the user proceeds, an email will be sent to the Account Administrators for the user's Surety Company. The email shall contain the old and new names fields (first, middle, last, suffix).	State



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37701, 37177	Surety Bonds: Surety Bond Producer Representative name change – with active associations	<p>When the name on a Surety Bond Producer user profile is changed for a user who is a representative on any active association (requested, request correction or approved) the user making the change will be warned that the individual will be removed from all active associations when the name is changed. Any change, even a punctuation or capitalization change, to the first, middle, last, or suffix fields of the user profile name is considered a name change.</p> <p>Upon confirmation of the name change, the user will be removed as a representative from all active associations. Notification, including the representative’s old and new name, will be sent to subscribed Surety Bond Producer users and all Surety Companies with affected associations. Notification to the Surety Company will include a list of affected associations for that Surety Company with a link to the <i>Manage Association Detail</i> page for each association. Notification to Surety Bond Producers will include a list of all affected associations across surety/underwriting companies. In addition, the existing Surety Association Representative Removed notification will be suppressed so that NMLS does not trigger redundant notifications to the same parties.</p>	State
37997	Surety Bonds: Representative name change – no active associations	<p>When the name on a Surety Bond Producer user profile is changed for a user who is a representative but not named on an active association the user making the change will be warned that a new account should be created if the name change is being made to replace the individual with a new individual. Any change, even a punctuation or capitalization change, to the first, middle, last, or suffix fields of the user profile name is considered a name change. Notification, including the representative’s old and new name, will be sent to subscribed Surety Bond Producer users.</p>	



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38006	Surety Bonds: TEXT-SC and SBP name change management text	Text updates were made to the following pages when presented to Surety Company and Surety Bond Producer users to advise the use of the full legal name for user accounts since the system uses the name associated to the user account for electronic signatures: <ul style="list-style-type: none"> <li>• <i>Create Organizational User</i></li> <li>• <i>Update User Profile</i> (profile updates by user)</li> <li>• <i>User Account Profile</i> (profile updates by account admin)</li> </ul>	State
37699	Surety Bonds: Surety Bond Producer Representative name capture and display	Whenever a representative is added to an association, NMLS will retain the name fields (first, middle, last, suffix) at the time of addition as part of the association representative record. This name will be displayed when the association representative record is displayed as part of the association in the following locations: <ul style="list-style-type: none"> <li>• <i>Surety Company Composite</i></li> <li>• <i>Surety Bond Producer Composite</i></li> <li>• <i>Surety Company Association Management</i></li> <li>• <i>Surety Bond Producer Association Management</i></li> </ul>	
37558	Surety Bonds: Bond re-use requires relaxed completeness check	NMLS will be enhanced to allow an existing electronic surety bond (ESB) on file with the regulator to satisfy the ESB requirement for new license or transition request for the same license type after the previous license instance is set to a terminal status.	State
37500	Surety Bonds: No Notice of Cancellation work item created for delivered bonds cancelled without prior notice	NMLS will be updated to generate a work item when an electronic surety bond is cancelled without prior notice.	State



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## System Maintenance Updates

SCR Number	Category/Title	Description	Context
<b>36838</b>	Form Filing: Company (MU1) filing submission failing due to individual (MU2) filing credit report option of Use Current Credit Report when credit report has expired	NMLS will be updated to present a completeness check at the company filing level if an individual associated to the filing selected to "Use Current Credit Report" on their individual (MU2) filing and the company filing is submitted after the expiration of the credit report. The company will be prompted to recall the individual (MU2) filing and have the individual select "Submit New" credit report and attest prior to submission of the company filing.	State
<b>37877</b>	License Settings: Validation for ESB requirement settings not triggered unless "Can Create" is updated	NMLS will validate the requirement that the surety's "Required for License Application" and "Required for License Transition" settings only be set to "Yes" if the "Electronic Surety Bond Allowed" setting is "Yes", even if "Electronic Surety Bond Allowed" setting is not changed at the same time.	
<b>37679</b>	License Settings: Receiving error when setting surety cancellation notice days required	NMLS will be corrected to allow changes to the cancellation notice days required license setting value for surety bonds without re-selecting "Yes" for the "Can Be Cancelled" setting.	State
<b>37867</b>	Reports: Incorrect Validation Message showing up for Entity ID field in Reports	The validation message when the Entity ID number is entered in report criteria will be corrected to read in all cases: "[ID] must be an integer between 1 and 999,999,999."	State
<b>37443</b>	Surety Bonds: Bond Number field validation generates GSE if special characters entered	NMLS will display a user friendly error if a surety entity attempts to create a bond with special characters in the Bond Number field.	State
<b>37282</b>	Account Admin: User Profile Updated notification not triggered from Account Administrator or Support User-initiated changes	The "User Profile Changed" notification will be sent to the individual user associated with the account when the user profile is updated by a support or account administrator user.	State and Federal