



CT Mortgage Loan Originator License Surrender Checklist (Individual)

CHECKLIST SECTIONS

- [General Information](#)
- [Requirements Completed in NMLS](#)

GENERAL INFORMATION

Instructions

1. Notification of license surrender must be made through the NMLS.
2. Either the Mortgage Loan Originator (MLO) or the company who sponsored the MLO can give notification of license surrender through the NMLS.
3. The license of a MLO is not effective if the MLO is not sponsored by a Connecticut licensed Mortgage Lender, Mortgage Correspondent Lender, Mortgage Broker or an Exempt Registrant.
4. The license of a MLO sponsored by a company who has surrendered the license of the MLO's office of employment, will be reclassified as "approved-inactive" as of the license surrender date, unless the MLO is transferred to another location that is licensed by Connecticut and Connecticut is properly notified of the transfer through the NMLS. You do not have the authority to conduct loan origination activity under the "approved-inactive" license status.
5. No surrender shall be effective until accepted by the commissioner.
6. Connecticut does not charge fees for license surrender.

Help Resources

- [Company License Surrender Requests Quick Guide](#)
- [License Status Review & Definitions Quick Guide](#)

Agency Contact Information

Contact Consumer Credit licensing staff by phone at (860)240-8225 or send your questions via email to dob.ccl@ct.gov for additional assistance.

For U.S. Postal Service & Overnight Delivery:

*Connecticut Department of Banking
Consumer Credit
260 Constitution Plaza
Hartford, CT 06103-1800*

THE APPLICANT/LICENSEE IS FULLY RESPONSIBLE FOR ALL OF THE REQUIREMENTS OF THE LICENSE FOR WHICH THEY ARE SURRENDERING. THE JURISDICTION SPECIFIC REQUIREMENTS CONTAINED HEREIN ARE FOR GUIDANCE ONLY TO FACILITATE SURRENDER THROUGH THE NMLS. SHOULD YOU HAVE QUESTIONS, PLEASE CONSULT LEGAL COUNSEL.

REQUIREMENTS COMPLETED IN NMLS		
Complete	CT Mortgage Loan Originator License (Individual)	Submitted via...
<input type="checkbox"/>	Submission of Surrender Request: Request the surrender of the license through the submission of the Individual Form (MU4). See the Individual License Surrender Requests Quick Guide for instructions.	NMLS

No items are required to be submitted outside of NMLS for this license/registration surrender.