



UT-DFI Commercial Financing Registration Amendment Checklist (Company)

GENERAL INFORMATION

Instructions

UT-DFI does not require advance notice for any changes; make the changes in NMLS as of the effective date and submit supporting documentation as instructed in the checklist below.

If the required information in a registration or renewal becomes inaccurate after filing, the registered entity is not required to notify the department until: (a) that person is required to renew the registration; or (b) the department specifically requests earlier notification

Agency Contact Information

Contact Utah - DFI licensing staff by phone at 801 538 8830 or send your questions via e-mail to Paul Cline at pcline@utah.gov (801 538 8842) for additional assistance.

For U.S. Postal Service:

*Utah Department of Financial Institutions
PO Box 146800
Salt Lake City, UT 84114-6800*

For Overnight Delivery:

*Utah Department of Financial Institutions
324 S. State Street #201
Salt Lake City, UT 84111*

Alternatively, you may send information via email to: msb.dfi@utah.gov

THE APPLICANT/LICENSEE IS FULLY RESPONSIBLE FOR ALL OF THE REQUIREMENTS OF THE LICENSE FOR WHICH THEY ARE APPLYING. THE AGENCY SPECIFIC REQUIREMENTS CONTAINED HEREIN ARE FOR GUIDANCE ONLY TO FACILITATE APPLICATION THROUGH NMLS. SHOULD YOU HAVE QUESTIONS, PLEASE CONSULT LEGAL COUNSEL.