

# Nationwide Mortgage Licensing System (NMLS): Release Notes

## Release 2009.4

Release Date: September 14, 2009

These release notes describe the enhancements for Release 2009.4 of the Nationwide Mortgage Licensing System (NMLS), released on September 14, 2009. Release 2009.4 is comprised mainly of renewals enhancements. The renewals enhancements were crafted to address industry and regulator issues that were identified from several sources. SRR collected industry feedback from a renewals survey, input from the Industry Development Working Group and from issues handled by the NMLS Call Center. Regulators responded to an e-mail request for suggested renewals enhancements and also provided feedback through the NMLS Call Center and other sources.

### RENEWALS ENHANCEMENTS

SCR #	SCR Title	Description
249797	Update Text of Renewals System Notifications	System notifications specific to Renewals have been updated for clarity.
249649	Streamline the Renewals Process	<p>The Company renewals process has been greatly simplified so that the separate step of reviewing renewal requests will no longer be required prior to confirming selections. In addition, the separate pay and review roles are being removed which will allow any user with a renewal role to submit and pay for renewals. Users previously granted these roles will automatically be assigned the single renewal role with the release. Company and branch attestation will be included as part of the Renewals Cart submission and will cover any company or branch renewal requests included in the submission. Company Users will not have to identify each jurisdiction that they are attesting for. After licenses are selected for renewal, the user can immediately pay for renewal requests from the Renewals Cart. Carts will continue to be user specific.</p> <p>The Renewal Selection list will default to show all renewable company, branch and sponsored individual licenses. The "Do Not Pay" option for individual licenses will be removed and Mortgage Loan Originators will be able to renew their own licenses without the Company first delegating renewal control to the Individual. Individual attestation will remain unchanged and will remain at the jurisdiction level. Individual users must attest to their licenses before they can be submitted for renewal. Attestation for Individuals will open at the start of the renewal period (November 1<sup>st</sup>).</p>

# Nationwide Mortgage Licensing System (NMLS): Release Notes

## Release 2009.4

Release Date: September 14, 2009

SCR #	SCR Title	Description
252808	Instructional text and workflow message updates	Renewals system text for industry and regulators has been revised to enhance clarity and user experience based on the streamlined workflow changes. Additionally, according to the industry survey, industry was confused by the use of the term query and did not know to access the queries function to obtain the "report" information they needed. Therefore, renewal queries are being renamed as "Renewal Reports".
252791, 252835	Renewals-Streamlining Impacts to Renewal Queries	<p>Currently, the Company User renewal queries (reports) include:</p> <ul style="list-style-type: none"> <li>- Ineligible for Renewal - Companies and Branches</li> <li>- Ineligible for Renewal - Individuals</li> <li>- Submitted Renewal Requests - Companies and Branches</li> <li>- Submitted Renewal Requests - Individuals</li> <li>- Sponsored Individuals Not Paying.</li> </ul> <p>Changes are being made to the following Company renewal queries:</p> <ol style="list-style-type: none"> <li>1. 'Queries' will be renamed to 'Reports'</li> <li>2. Ineligible for Renewal - Companies and Branches: In conjunction with verifying that no Financial Statement license items exist when determining which licenses are eligible for renewal (per SCR #249882), the existing "Ineligible to Renew" report for Company licenses will be enhanced to include a flag in the report to indicate to the Company when a financial statement license item may be the reason a license is not eligible for renewal.</li> <li>3. Sponsored Individuals Not Paying: Will be converted into a Sponsored Individuals Not Requested for Renewal report that will include all sponsored renewable licenses that have been marked as "Do Not Renew" or have not been submitted for renewal. The Attested flag will also be added to this report. Individual licenses submitted for renewal will continue to appear on the Submitted Renewal Requests - Individuals.</li> </ol>
249882	Financial Statements Renewals Component	NMLS will prevent submission of a renewal request for any license with an outstanding Financial Statement license item. Once the license item has been cleared, NMLS will permit submission of a renewal request.
253006	License Status Date for Terminated-Expired status at end of Reinstatement	When the license status for non-perpetual licenses that have not been submitted for renewal is set to "Terminated-Expired" on March 1 (at the end of reinstatement), the corresponding status date will be set to January 1 or the current license status date, whichever is later.

# Nationwide Mortgage Licensing System (NMLS): Release Notes

## Release 2009.4

Release Date: September 14, 2009

### OTHER ENHANCEMENTS

SCR #	SCR Title	Description
250045	Separate Field for Original License Date	The original license date of a license, representing the date the license was first considered "active/approved", needs to be clearly and explicitly defined for each license record. This date is primarily needed in support of consumer access.
250193	Branch Administrative Action notification	The notification sent when an administrative action is taken against a branch license has been amended to provide more detailed information regarding the affected license.
251641	Make E-mail Address Mandatory for MU2/MU4	The individual's e-mail address will be required in connection with each MU2/MU4 filing.
251642	Warning to Update Phone and E-mail Address on MU2/MU4	Each time an MU2/MU4 individual updates their current employer, a reminder will be included on the completeness check page to make any required changes to their telephone number and e-mail address.
252868	Change Hover Text for SRR Website Icon	Hover text for the icon that takes users to the NMLS Resource Center will be changed from "State Regulatory Registry" to "NMLS Resource Center".
252435	Reconcile Transcript Implementation with Original Requirements	Course Completion Record functionality is being enhanced to allow users to request a Course Completion record for all Pre-Licensure Education courses taken or Continuing Education courses taken for a particular compliance year.
252573	Transcript Sorting Requirement long-term solution	The sort order for education requirement types is being changed to: Federal Law, Ethics, Non-traditional Mortgage Products and Total.

**Nationwide Mortgage Licensing System (NMLS): Release Notes**  
**Release 2009.4**  
**Release Date: September 14, 2009**

**PROBLEM REPORTS**

SCR #	SCR Title	Description
249167	MU4 View Historical Filing for Individual does not display App Name and Comp ID	Historical filing data for Individual filings submitted by company users will be updated to include the user name of the company user submitting the filing and the name of the company.
249323	LIC Sponsorship Status Date Set to Wrong Value when Sponsorship Removed	Currently NMLS removes the sponsorship and sets the Sponsorship Status Date to the Current Date when an Individual License has an active sponsorship and the Regulator changes the License Status to a Terminal Status and the License Status Date to a date in the past. With Release 2009.4, the Sponsorship Status Date will be set to the same status date assigned to the Terminal License Status.
253132	MU4: Warning for missing Test requirement displayed when Test Type has future Effective Date	Warning message rules will be changed so the message only appears if the Individual holds or is requesting a license in a jurisdiction where the state test component is live.

To access the latest information for NMLS on our website, please enter this URL into your Internet browser:

**<http://www.stateregulatoryregistry.org/NMLS>**

**Note:** The regulator section of the website is secured. You need to have a login ID and password to access this information.