



## ENTITY NOTIFICATIONS

This document will give a brief summary of each type of notification you may receive as a Surety Company, Surety Bond Producer, and Principal.

<b>Subject</b>	<b>Recipient</b>	<b>Description</b>
Company Surety Bond Signed	<ul style="list-style-type: none"><li>• Licensee (Principal)</li></ul>	A bond written for the licensee is ready to be reviewed and signed.
Surety Entity Company Access Granted	<ul style="list-style-type: none"><li>• Surety Bond Producer</li><li>• Surety Company</li></ul>	Authority is granted to issue bonds.
Surety Bond Cancelled	<ul style="list-style-type: none"><li>• Licensee (Principal)</li></ul>	A Surety Company has set a cancellation date on a bond.
Surety Bond Rescinded	<ul style="list-style-type: none"><li>• Licensee (Principal)</li></ul>	A bond cancellation was rescinded.
Surety Bond Reinstated	<ul style="list-style-type: none"><li>• Licensee (Principal)</li></ul>	A cancelled bond was reinstated.
Broker of Record Change Pending	<ul style="list-style-type: none"><li>• Licensee (Principal)</li></ul>	Sent on the Effective Date of a Broker of Record Change to notify the licensee that it is pending.

<b>Subject</b>	<b>Recipient</b>	<b>Description</b>
Authority to Issue Bonds Withdrawn	<ul style="list-style-type: none"> <li>• Surety Bond Producer</li> <li>• Surety Company</li> </ul>	The licensee (principle) has removed the authority to issue bonds from the surety company or surety bond producer.
Authority to Issue Bonds Withdrawn	<ul style="list-style-type: none"> <li>• Licensee (Principle)</li> </ul>	The surety company or surety bond producer has removed its authority to issue and manage bonds on behalf of the licensee (principle).
Company Broker of Record State Authorizations Required	<ul style="list-style-type: none"> <li>• Surety Bond Producer</li> <li>• Surety Company</li> </ul>	A broker of record change has been processed and now, one or more bonds associated to the change are in states where your entity does not currently have authority to issue bonds.
Company Broker of Record Change Rejection	<ul style="list-style-type: none"> <li>• Surety Bond Producer</li> <li>• Surety Company</li> </ul>	A broker of record change was declined.
Surety Bond Declined	<ul style="list-style-type: none"> <li>• Surety Bond Producer</li> <li>• Surety Company</li> </ul>	A licensee has declined a bond.
Surety Bond Request for Correction	<ul style="list-style-type: none"> <li>• Surety Bond Producer</li> <li>• Surety Company</li> </ul>	A licensee has requested a correction on a bond.
Signatory Designation	<ul style="list-style-type: none"> <li>• Individual (Control Person)</li> </ul>	The individual receiving this email has been designated as the signatory on a bond.
Surety Bond Signed by Designated Individual	<ul style="list-style-type: none"> <li>• Surety Company</li> </ul>	The details of the surety bond signed for the company by the designated MU2 individual.
Signatory Removal	<ul style="list-style-type: none"> <li>• Individual (Control Person)</li> </ul>	The individual receiving this email was removed as the signatory on a bond.
Surety Bond Returned to Surety (Bond Version Change)	<ul style="list-style-type: none"> <li>• Surety Bond Producer</li> <li>• Surety Company</li> </ul>	Undelivered bond(s) were returned to the surety for upgrade and signature due to a new bond form version introduced by the state regulator.

Surety Bond Returned to Surety (Bond Version Change)	<ul style="list-style-type: none"> <li>• Licensee (Principle)</li> </ul>	Undelivered bond(s) were returned to the surety for upgrade and signature due to a new bond form version introduced by the state regulator.
Company Returns Bond/Rider to Surety (Bond Version Change)	<ul style="list-style-type: none"> <li>• Individual (Control Person)</li> </ul>	The individual receiving this email is alerted that the bond was returned and requires re-signature prior to delivery to the regulator.
Surety Bond Returned to Surety (Regulator Return)	<ul style="list-style-type: none"> <li>• Surety Bond Producer</li> <li>• Surety Company</li> </ul>	Delivered bond(s) were returned to the surety by the state regulator for reasons specified by the regulator.
Surety Bond Returned to Surety (Regulator Return)	<ul style="list-style-type: none"> <li>• Licensee (Principle)</li> </ul>	Delivered bond(s) were returned to the surety by the state regulator for reasons specified by the regulator.
Annual Surety Attestation	<ul style="list-style-type: none"> <li>• Surety Company</li> <li>• Surety Bond Producer</li> </ul>	Automatic reminder sent to subscribed users on November 1, December 1, and January 1 to surety entities that have not yet completed annual attestation of the accuracy of their profile, state authorization, and active association information in NMLS. Defaults to AA users if no other users subscribed.
Producer Signed Bond	<ul style="list-style-type: none"> <li>• Surety Company</li> </ul>	A subscription-based notification sent to the assigned and subscribed underwriter user when a surety bond producer signs a bond or rider. This notification will not default to Account Administrators if another user is not subscribed.