



Surety Bond Producer Reports Field Definitions

Last Updated: September 13, 2017
Conference of State Bank Supervisors
1129 20th Street NW, Ninth Floor
Washington, D.C. 20036

Surety Bond Producer Report Field Definitions

This document provides field definitions for the standard reports available to surety bond producers through the Reports tab in NMLS.

The information contained in the report is for bonds that are relevant to the surety bond producer who is requesting the report.

Except for minor adjustments to account for holidays, the following rules apply regarding the availability of data for Reports within NMLS:

'As of Date' Reports: Reports with an 'As Of' date that falls on Monday through Thursday will include data current as of the previous day. Reports with a 'As Of' date that falls on Friday through Sunday will include data current as of the preceding Thursday.

'Since Date' Reports: Reports requested on Monday through Thursday will include data up to and including the previous day. Reports requested on Friday through Sunday will include data up to and including the previous Thursday.

The document contains one section:

1. **A listing of reports containing:**
 - a. A brief description of the report
 - b. The report criteria filter options available
 - c. The fields contained in the report with field definitions.

Contents

Surety Bond Transaction Report	4
Surety Bond Producer Roster	6

Surety Bond Producer Report Field Definitions

Surety Bond Transaction Report

This report returns selected events for the Surety Bonds for which a given Surety Bond Producer was the Broker of Record at the time of or subsequent to the event.

When the Security Bond Producer is no longer the current Broker of Record, all events that occurred while the Surety Bond Producer was the current Broker of record are reported for the date range selected.

The report returns the following transaction events that occurred for the applicable bonds

- a. Signed by Surety (Rider Signed by Surety)
- b. Signed by Licensee (Rider Signed by Licensee)
- c. Delivered to Regulator (Rider Delivered to Regulator)
- d. Broker of Record Change
- e. Notice of Cancellation
- f. Cancellation Notice Rescinded
- g. Cancelled
- h. Reinstated
- i. Returned to Surety
- j. Returned to Surety by Regulator
- k. Declined by Licensee
- l. Revised Form Bond Issued
- m. Voided

Criteria for the report can be filtered by:

- Company ID
- Date Range (The date range must not exceed 30 days and cannot include a future date.)
- Surety Bond Transaction Type:
 - Signed by Surety
 - Signed by Licensee
 - Delivered to Regulator
 - Broker of Record Change
 - Notice of Cancellation
 - Cancellation Notice Rescinded
 - Cancelled
 - Reinstated
 - Returned to Surety
 - Returned to Surety by Regulator
 - Declined by Licensee
 - Revised Form Bond Issued

- Voided
- Include Rider Transactions

Report Field	Description
Bond Transaction Type	Type of surety bond transaction type (based on filters selected)
Transaction Date	Date the event occurred.
Action Taken By (User)	Full name of the user who initiated the action.
Action Taken By (Entity Type)	The entity type of the user (Surety Company, Surety Bond Producer, Company, Individual or "System") who initiated the action.
Action Taken By (Entity Name)	The name of the entity that initiated the action. If the action was taken by the licensed company in NMLS, it is the name of the licensed company. If it is the Surety Bond Producer, the name of the surety bond producer will be populated, etc. The field will not be populated if it is a system generated action.
Action Taken By (Entity Identifier)	<ul style="list-style-type: none"> ● Company or Individual: NMLS ID ● Surety Bond Producer: NPN ● Surety Company: NAICID (of surety company user) The field will not be populated if it is a system generated action.
Bond Number	The surety bond number that is entered by the surety entity that creates the bond in NMLS.
Bond Effective Date	The effective date as stated on the bond.
Bond Amount	As reported on the surety bond.
Converted	The Converted Bond indicator reflects that the bond initially was a paper bond delivered outside of NMLS that has now been converted to an electronic surety bond in NMLS.
Underwriting Company	The name of the surety company or underwriting company.
NAIC ID	The number as assigned by the NAIC to the underwriting company. (NAIC = The National Association of Insurance Commissioners)
Principal Name	The name of the entity that is licensed through NMLS (mortgage company name, etc.)
Other Trade Name	Other trade name for the licensed entity reported on the Surety Bond.
Principal NMLS ID	The NMLS ID of the entity licensed through NMLS
Principal Street	The licensee's main address street.
Principal City	The licensee's main address city.
Principal State	The licensee's main address state.
Principal Postal Code	The licensee's main address postal code.
Bond License Type	The licensee's license type associated with the surety bond (Mortgage Lender License, etc.)
Regulator (Obligee)	The agency that licenses the principal entity through NMLS and is the beneficiary of claims filed against the bond on their behalf.
Rider Change Category	The type of amendment made to a previously issued (and still active) surety bond. Amendment types include: bond amount, bond effective date, etc.
Revision Effective Date	The date the rider (to the surety bond)/revised form bond becomes effective.
Cancellation Effective Date	The date the cancellation of the surety bond becomes effective.
Re-Signed for Correction	A Y/N indicator as to if the bond was re-signed due to a requested correction.
Report Current As Of	System date that the report was run.
Report Generated Time	System date and time that the report was run.

Surety Bond Producer Roster

This report provides details for all Surety Bonds where the surety bond producer is listed as the producer for the bond.

Criteria for the report can be filtered by:

- Surety Bond Producer ID
- Licensee (Company) ID
- Regulator
- License Type
- Underwriting Company
- Surety Bond Status
- Bonds That Require a revised Form Bond

Report Field	Description
Principal Name	The name of the entity that is licensed through NMLS (mortgage company name, etc.)
Other Trade Name	Other trade name for the licensed entity reported on the Surety Bond.
Principal NMLS ID	The NMLS ID of the entity licensed through NMLS
Principal Street	The licensee's main address street.
Principal City	The licensee's main address city.
Principal State	The licensee's main address state.
Principal Postal Code	The licensee's main address postal code.
Bond License Type	The licensee's license type associated with the surety bond (Mortgage Lender License, etc.)
Bond Number	The surety bond number that is entered by the surety entity that creates the bond in NMLS.
Bond Amount	As reported on the surety bond, inclusive of all delivered riders.
Bond Effective Date	The effective date as stated on the bond.
Bond Status	The status of the latest delivered bond revision or the original bond if it has never been delivered.
Bond Status Date	Date corresponding to the bond status.
Bond Delivered	Yes/No indicator of whether the bond has been delivered to the regulator.
Cancellation Effective Date	The effective date of the bond cancellation, if applicable.
Surety/Underwriting Company	The name of the surety company or underwriting company.
NAIC ID	The number as assigned by the NAIC to the underwriting company. (NAIC = The National Association of Insurance Commissioners)
Underwriter	The user name of the bond underwriter
Converted	The Converted Bond indicator reflects that the bond initially was a paper bond delivered outside of NMLS that has now been converted to an electronic surety bond in NMLS.
Has Rider	Yes/No indicator of whether the bond has one or more riders associated to it. This may be a fully executed rider or a pending rider.
Latest Rider Change Category	The type of amendment made to latest non-voided rider (pending or delivered).
Pending Revision Effective Date	The date the pending revision is effective for a bond with the status of Requires Rep Signature, Pending Principal Signature or Returned to Surety.

Report Field	Description
Pending Revision status	The status for the pending revision for a bond with the status of Requires Rep Signature, Pending Principal Signature or Returned to Surety.
Bond Form Version	The Form Version of the bond template used for the latest non-voided revision.
Revised Form Bond Required	Yes/No indicator of whether the bond requires surety action to issue a revised form bond based on the bond form version used (i.e. revised form bond is not pending principal signature or delivered to the regulator).
Report Current As Of	System date that the report was run.
Report Generated Time	System date and time that the report was run.