



## **Surety Company Reports Field Definitions**

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# Surety Company Report Field Definitions

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This document provides field definitions for the standard reports available to surety companies through the Reports tab in NMLS.

The information contained in the report is for bonds that are relevant to the surety company who is requesting the report.

Except for minor adjustments to account for holidays, the following rules apply regarding the availability of data for Reports within NMLS:

**'As of Date' Reports:** Reports with an 'As Of' date that falls on Monday through Thursday will include data current as of the previous day. Reports with a 'As Of' date that falls on Friday through Sunday will include data current as of the preceding Thursday.

**'Since Date' Reports:** Reports requested on Monday through Thursday will include data up to and including the previous day. Reports requested on Friday through Sunday will include data up to and including the previous Thursday.

The document contains one section:

1. **A listing of reports containing:**
  - a. A brief description of the report
  - b. The report criteria filter options available
  - c. The fields contained in the report with field definitions.

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# Surety Company Report Field Definitions

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## Surety Bond Transaction Report

This report returns selected events for the Surety Bonds that are insured by a given Surety Company or one of its subsidiary Underwriting Companies. For each event (transaction), the report returns the current values from the bond at the time of the event. Data from the Surety Bond always reflects the latest revision of the bond at the time the event occurred including the Surety Bond Producer on the bond.

The report returns the following transaction events that occurred for the applicable bonds

- a. Signed by Surety (Rider Signed by Surety)
- b. Signed by Licensee (Rider Signed by Licensee)
- c. Delivered to Regulator (Rider Delivered to Regulator)
- d. Broker of Record Change
- e. Notice of Cancellation
- f. Cancellation Notice Rescinded
- g. Cancelled
- h. Reinstated
- i. Returned to Surety
- j. Returned to Surety by Regulator
- k. Declined by Licensee
- l. Revised Form Bond Issued
- m. Voided

Criteria for the report can be filtered by:

- Company ID
- Date Range (The date range must not exceed 30 days and cannot include a future date.)
- Underwriter
- Underwriting Company
- Surety Bond Transaction Type:
  - Signed by Surety
  - Signed by Licensee
  - Delivered to Regulator
  - Broker of Record Change
  - Notice of Cancellation
  - Cancellation Notice Rescinded
  - Cancelled
  - Reinstated
  - Returned to Surety
  - Returned to Surety by Regulator
  - Declined by Licensee
  - Revised Form Bond Issued

- Voided
- Include Rider Transactions

<b>Report Field</b>	<b>Description</b>
Bond Transaction Type	Type of surety bond transaction type (based on filters selected)
Transaction Date	Date the event occurred.
Action Taken By	Full name of the user who initiated the event.
Bond Number	The Surety Bond number as entered by the surety entity that creates the bond in NMLS.
Bond Effective Date	The effective date as stated on the bond.
Bond Amount	As reported on the Surety Bond.
Converted	The Converted indicator reflects that the bond initially was a paper bond delivered outside of NMLS that has now been converted to an electronic surety bond in NMLS.
Underwriting Company	The name of the surety company or underwriting company.
NAIC ID	The Surety Bond Producer number for the current Broker of Record as assigned by the NAIC. Note: The Broker of Record may NOT be the original Surety Bond Producer that issued the bond. (NAIC = The National Association of Insurance Commissioners)
Surety Bond Producer	The name of the agent or broker acting on behalf of a surety company to issue and maintain surety bonds for the bond principal (licensed entity).
Surety Bond Producer NPN	The national producer number (NPN) as issued by NAIC for the surety bond producer. This unique identifier identifies a Representative of a Surety Bond Producer.
Surety Bond Producer Street	The surety bond producer's main address street.
Surety Bond Producer City	The surety bond producer's main address city.
Surety Bond Producer State	The surety bond producer's main address state.
Surety Bond Producer Postal Code	The surety bond producer's main address postal code.
Principal Name	The name of the entity that is licensed through NMLS (mortgage company name, etc.)
Other Trade Name	Other trade name for the licensed entity reported on the Surety Bond.
Principal NMLS ID	The NMLS ID of the entity licensed through NMLS
Principal Street	The licensee's main address street.
Principal City	The licensee's main address city.
Principal State	The licensee's main address state.
Principal Postal Code	The licensee's main address postal code.
Bond License Type	The licensee's license type associated with the surety bond (Mortgage Lender License, etc.)
Regulator (Obligee)	The agency that licenses the principal entity through NMLS and is the beneficiary of claims filed against the bond on their behalf.
Rider Change Category	The type of amendment made to a previously issued (and still active) surety bond. Amendment types include: bond amount, bond effective date, etc.
Revision Effective Date	The date the rider (to the surety bond)/revised form bond becomes effective.
Cancellation Effective Date	The date the cancellation of the surety bond becomes effective.
Re-Signed for Correction	A Y/N indicator as to if the bond was re-signed due to a requested correction.

<b>Report Field</b>	<b>Description</b>
Underwriter	The name of the individual who underwrote the surety bond.
Report Current As Of	System date that the report was run.
Report Generated Time	System date and time that the report was run.

## Surety Bond Roster

This report provides details for all Surety Bonds underwritten by the surety company or its related underwriting companies.

Criteria for the report can be filtered by:

- Surety Bond Producer ID
- Company ID
- Regulator Code
- License Type
- Underwriter
- Underwriting Company
- Surety Bond Status (Requires Rep Signature, Pending Principal Signature, Returned to Surety, Executed, Notice of Cancellation, Cancelled, Voided)
- Bonds That Require a Revised Form Bond

Report Field	Description
Principal Name	The name of the entity that is licensed through NMLS (mortgage company name, etc.)
Other Trade Name	Other trade name for the licensed entity reported on the Surety Bond.
Principal NMLS ID	The NMLS ID of the entity licensed through NMLS
Principal Street	The licensee's main address street.
Principal City	The licensee's main address city.
Principal State	The licensee's main address state.
Principal Postal Code	The licensee's main address postal code.
Regulator (Obligee)	The agency that licenses the principal entity through NMLS and is the beneficiary of claims filed against the bond on their behalf.
Bond License Type	The licensee's license type associated with the surety bond (Mortgage Lender License, etc.)
Bond Number	The surety bond number that is entered by the surety entity that creates the bond in NMLS.
Bond Amount	As reported on the surety bond.
Bond Effective Date	The effective date as stated on the bond.
Bond Status	The status of the latest delivered bond revision or the original bond if it has never been delivered.
Bond Status Date	Date corresponding to the bond status.
Bond delivered	
Cancellation Effective Date	The effective date of the bond cancellation.
Surety/Underwriting Company	The name of the surety company or underwriting company.
NAIC ID	The number as assigned by the NAIC to the underwriting company. (NAIC = The National Association of Insurance Commissioners)
Underwriter	The user name of the bond underwriter
Surety Bond Producer	The name of the agent or broker acting on behalf of a surety company to issue and maintain surety bonds for the bond principal (licensed entity).
Surety Bond Producer NPN	The national producer number (NPN) as issued by NAIC for the surety bond producer. This unique identifier identifies a Representative of a Surety Bond Producer.
Surety Bond Producer Street	The surety bond producer's main address street.

<b>Report Field</b>	<b>Description</b>
Surety Bond Producer City	The surety bond producer's main address city.
Surety Bond Producer State	The surety bond producer's main address state.
Surety Bond Producer Postal Code	The surety bond producer's main address postal code.
Converted	The Converted Bond indicator reflects that the bond initially was a paper bond delivered outside of NMLS that has now been converted to an electronic surety bond in NMLS.
Has Rider	Yes/No indicator of whether the bond has one or more riders associated to it. This may be a fully executed rider or a pending rider.
Latest Rider Change Category	The type of amendment made to latest non-voided rider (pending or delivered).
Pending Revision Effective Date	The date the pending revision is effective for a bond with the status of Requires Rep Signature, Pending Principal Signature or Returned to Surety.
Pending Revision status	The status for the pending revision for a bond with the status of Requires Rep Signature, Pending Principal Signature or Returned to Surety.
Bond Form Version	The Form Version of the bond template used for the latest non-voided revision.
Revised Form Bond Required	Yes/No indicator of whether the bond requires a revised form bond based on the bond form version used.
Report Current As Of	System date that the report was run.
Report Generated Time	System date and time that the report was run.