Surrender
Jurisdiction-Specific Requirements

CALIFORNIA FINANCE LENDERS LAW LICENSE

Instructions

1. California does not charge fees for license surrender.
2. Licensees who make or arrange residential mortgage loans must notify the California Department of Business Oversight through the NMLS when terminating business under this license.
3. Main office surrenders are processed using form MU1. Note: You must also surrender each branch location using Form MU3.
4. You are required to indicate the physical location where the books and records will be maintained subsequent to the surrender. This information must be provided in the “Books and Records” section of the Form MU1.
5. The licensee must submit any documents required on the checklist below with this checklist within 5 business days of the electronic submission of your surrender through the NMLS at the following:

   For U.S. Postal Service:
   California Department of Business Oversight
   NMLS Licensing Unit
   320 West 4th Street, Suite 750
   Los Angeles, CA  90013

   For Overnight Delivery:
   California Department of Business Oversight
   NMLS Licensing Unit
   320 West 4th Street, Suite 750
   Los Angeles, CA  90013

NMLS Unique ID Number: ________________
Licensee Legal Name: ______________________________________

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<th>ATTACHED</th>
<th>NOT APPLICABLE</th>
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<td>LICENSE. Enclose the original license as issued by the California Department of Business Oversight. If the license has been lost or misplaced, provide an attestation to that affect.</td>
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<td>LOCATION OF RECORDS. Provide the location where the records will be maintained and the name, address and phone number of the individual(s) responsible for safekeeping of the books and records subsequent to the surrender. Please also provide the name address and phone number of the individual(s) responsible for winding down the operations at the location and for handling consumer complaints.</td>
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WHO TO CONTACT – Contact Department of Business Oversight by phone at 1-866-275-2677 or visit our website at www.dbo.ca.gov for additional assistance.

THE APPLICANT/LICENSEE IS FULLY RESPONSIBLE FOR ALL OF THE REQUIREMENTS OF THE LICENSE FOR WHICH THEY ARE SURRENDERING. THE JURISDICTION SPECIFIC REQUIREMENTS CONTAINED HEREIN ARE FOR GUIDANCE ONLY TO FACILITATE SURRENDER THROUGH THE NMLS. SHOULD YOU HAVE QUESTIONS, PLEASE CONSULT LEGAL COUNSEL.