CHECKLIST SECTIONS

- General Information
- Amendments

GENERAL INFORMATION

Instructions
When making changes to your record in NMLS, review the checklist below.

Changes to the mailing address, legal name, or change of an employing broker or corporation must be processed and approved through CA-DRE before you submit the amendment request through NMLS. These requests require a separate application and/or additional documentation to be submitted to CA-DRE.

Filing an amendment through the NMLS does not authorize you to conduct business with the changes requested unless the changes are reflected on your CA-DRE license record.

Helpful Resources

- Individual (MU4) Amendments Quick Guide
- Individual Disclosure Explanations Quick Guide
- Disclosure Explanations - Document Upload Quick Guide
- Change of Employer Quick Guide

Agency Contact Information

Contact Department of Real Estate licensing staff by phone at (877) 373-4542 for additional assistance.

For U.S. Postal Service:
Department of Real Estate
Licensing Section-NMLS Unit
P.O. Box 137008
Sacramento, CA 95813-7008

For Overnight Delivery:
Department of Real Estate
Licensing Section-NMLS Unit
1651 Exposition Blvd.
Sacramento, CA 95815

THE APPLICANT/LICENSEE IS FULLY RESPONSIBLE FOR ALL OF THE REQUIREMENTS OF THE LICENSE FOR WHICH THEY ARE APPLYING. THE AGENCY SPECIFIC REQUIREMENTS CONTAINED HEREIN ARE FOR GUIDANCE ONLY TO FACILITATE APPLICATION THROUGH NMLS. SHOULD YOU HAVE QUESTIONS, PLEASE CONSULT LEGAL COUNSEL.
AMENDMENTS

- **Change of Employment**
  - NMLS Sponsorship Change Processing Fee: $30
    - This fee will be waived for the initial sponsorship request for a license.

- **Change of Residential Address**

- **Change of Legal Name**

- **Change of Disclosure Question(s)**

**Note:** There are no NMLS amendment fees for changes made to the Individual Form (MU4) in NMLS. Fees collected through NMLS ARE NOT REFUNDABLE OR TRANSFERABLE.

**Note:** Information uploaded or filed in NMLS will not be viewable to the agency until the filing has been attested to and submitted through NMLS. Agency-specific requirements that should be emailed or mailed to the agency on the checklist below must be received with the appropriate checklist within five (5) business days of the electronic submission of your submission through the NMLS.
<table>
<thead>
<tr>
<th>Complete</th>
<th>CA-DRE Real Estate Salesperson License Endorsement Change of Employment Amendment Items</th>
<th>Submitted via...</th>
</tr>
</thead>
<tbody>
<tr>
<td>□</td>
<td><strong>End Company Relationship:</strong> End the “Relationship” with your former employer in NMLS if the company has not already done so. For instructions on completing this action, see the <a href="#">Removing Access &amp; Ending Relationships Quick Guide</a>. <strong>Note:</strong> Once the current “Sponsorship” is removed, your license status will be updated to Approved-Inactive. You are not authorized to conduct business until the sponsorship request from your new employer is accepted by CA-DRE.</td>
<td>NMLS</td>
</tr>
<tr>
<td>□</td>
<td><strong>Employment History:</strong> Update the Employment History section of the Individual Form (MU4).</td>
<td>NMLS</td>
</tr>
</tbody>
</table>
| □        | **Confirm Email Address:** Confirm that the email address listed in the following locations is current in NMLS:  
  - Home Tab>My Account>Update User Profile  
  - Filing Tab>Individual>Identifying Information section | NMLS |
| □        | **Submit Employment Change to CA-DRE:** An employment change must be processed and approved through CA-DRE before your company submits a sponsorship request through NMLS. An employment change requires a separate application and/or additional documentation to be submitted to CA-DRE. Application is available on the CA-DRE website at [http://www.dre.ca.gov/Forms/](http://www.dre.ca.gov/Forms/). | Mail to CA-DRE |
| □        | **Grant Company Access:** Grant your new employer access to your NMLS record to allow creation of new relationship/sponsorship. For instructions on completing this action, see the [Providing Access to a Company Quick Guide](#).  
  **Note:** After access is granted, your new employer is required to submit a “Sponsorship” request to this agency.  
  **Note:** Once the new “Sponsorship” request is accepted, your license status will be updated to Approved and you will be authorized to conduct business. You are not authorized to conduct business until the sponsorship request from your new employer is accepted by CA-DRE. | NMLS |

**Note**  
**Change of Sponsorship:** $0 per license  
**NMLS Sponsorship Change Processing Fee:** $30  
This fee is waived for the initial sponsorship request for a license. Fees collected through NMLS are NOT REFUNDABLE.
<table>
<thead>
<tr>
<th>Complete</th>
<th>CA-DRE Real Estate Salesperson License Endorsement Change of Residential Address Amendment Items</th>
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</tr>
</thead>
<tbody>
<tr>
<td></td>
<td><strong>Update Residential History Section:</strong> If changing residence, you must update the Residential History section of the Individual Form (MU4) and the mailing address listed in the Identifying Information section of the Individual Form (MU4) if the mailing address is your residential address.</td>
<td>NMLS</td>
</tr>
<tr>
<td>Complete</td>
<td>CA-DRE Real Estate Salesperson License Endorsement Change of Mailing Address Amendment Items</td>
<td>Submitted via...</td>
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<td></td>
<td>Submit Mailing Address Change Request to CA-DRE: Mailing address changes must be processed and approved through CA-DRE before you submit an amendment request though NMLS. This request requires a separate application and/or additional documentation to be submitted to CA-DRE. Application is available on the CA-DRE website at <a href="http://www.dre.ca.gov/Forms/">http://www.dre.ca.gov/Forms/</a>.</td>
<td>Mail to CA-DRE</td>
</tr>
<tr>
<td></td>
<td>Update Identifying Information Section: If changing your mailing address, you must update the Identifying Information section of the Individual Form (MU4) after your mailing address has been updated with CA-DRE.</td>
<td>NMLS</td>
</tr>
<tr>
<td>Complete</td>
<td>CA-DRE Real Estate Salesperson License Endorsement Change of Legal Name Amendment Items</td>
<td>Submitted via...</td>
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<td>☐</td>
<td><strong>Submit Name Change Request to CA-DRE:</strong> A personal name change must be processed and approved through CA-DRE before you submit an amendment request though NMLS. Name change requests require a separate CA-DRE application and/or additional documentation to be submitted to CA-DRE. Application is available on the CA-DRE website at <a href="http://www.dre.ca.gov/Forms/">http://www.dre.ca.gov/Forms/</a>.</td>
<td>Mail to CA-DRE</td>
</tr>
<tr>
<td>☐</td>
<td><strong>Update Identifying Information Section:</strong> If changing your legal name, you must update the <em>Identifying Information</em> section of the Individual Form (MU4) after your legal name has been updated with CA-DRE.</td>
<td>NMLS</td>
</tr>
</tbody>
</table>

**Note:**
- Name change requests require a separate CA-DRE application and/or additional documentation to be submitted to CA-DRE.
- Application is available on the CA-DRE website at [http://www.dre.ca.gov/Forms/](http://www.dre.ca.gov/Forms/).
<table>
<thead>
<tr>
<th>Complete</th>
<th>CA-DRE Real Estate Salesperson License Endorsement Change in Disclosure Question(s) Amendment Items</th>
<th>Submitted via...</th>
</tr>
</thead>
<tbody>
<tr>
<td>□</td>
<td><strong>Change in Disclosure Question(s):</strong> Submit an amendment for a change to Disclosure Question responses through the Individual Form (MU4) in NMLS.</td>
<td>NMLS</td>
</tr>
</tbody>
</table>
| □        | **Changing a Response from No to Yes:** Provide a complete and detailed explanation and document upload for each response that changes from “No” to “Yes.”  
| □        | **Changing a Response from Yes to No:** When changing a Disclosure Question response from Yes to No, you will be required to remove the question from the associated Disclosure Explanation and provide an Amendment Reason.  
You should select “Add Explanation For “No” Responses” and provide an explanation for each response that changes from “Yes” to “No”. You should also upload a document (PDF) related to the explanation.  
See the [Individual Disclosure Explanations Quick Guide](#) and the [Disclosure Explanations - Document Upload Quick Guide](#) for instructions. | NMLS            |