Instructions

Maryland does not require advance notice, make the changes in NMLS as of the effective date and submit supporting documentation as instructed in the checklist below.

Fees

1. Maryland DOES NOT collect amendment fees for the following changes through NMLS during filing:
   - Change of Address
   - Change of Other Trade Names
   - Change of Branch Manager

2. All fees collected through NMLS ARE NOT REFUNDABLE.

How to submit Agency specific documents

1. If you are required to upload documents for an amendment that doesn’t require Advance Change Notice:
   a. In the NMLS document upload section, select the applicable document type.

2. If you are required to provide document(s) outside of NMLS:
   a. You must mail the document(s) along with the checklist within 5 business days to the following address:

   For U.S. Postal Service or For Overnight Delivery:
   Maryland Commissioner of Financial Regulation
   Attn: Licensing Unit
   500 N Calvert Street, Suite 402
   Baltimore, Maryland 21202
NMLS Unique ID Number:  
Applicant Legal Name:  

<table>
<thead>
<tr>
<th>FILED IN NMLS</th>
<th>ATTACHED</th>
<th>NOT APPLICABLE</th>
<th>REQUIRED AMENDMENT ITEM</th>
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<td>DOCUMENT REQUIRED FOR OF CHANGE OF BRANCH ADDRESS</td>
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<td>Upload copies of the following documents:</td>
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<td>• Surety Bond Rider or other proof of surety bond coverage that reflects the change of address.</td>
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<td>DOCUMENT REQUIRED FOR ADDITION, MODIFICATION OR DELETION OF OTHER TRADE NAME(S): Upload copies of the following documents:</td>
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<td>• If adding or amending, other trade name registration certificate from the Maryland State Department of Assessments and Taxation</td>
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<td>• Surety bond rider that amends, adds or deletes the other trade name</td>
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<td>N/A</td>
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<td>DISCLOSURE QUESTIONS. Provide complete details of all events or proceedings for any “Yes” answer to any of the Disclosure questions for company or any new Control Person or any new Manager.</td>
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WHOM TO CONTACT – Contact the Commissioner of Financial Regulation licensing staff by phone at 410-230-6155 or 888-784-0136 for further assistance regarding Maryland specific requirements.

THE APPLICANT/LICENSEE IS FULLY RESPONSIBLE FOR ALL OF THE REQUIREMENTS OF THE LICENSE FOR WHICH THEY ARE AMENDING. THE AGENCY SPECIFIC REQUIREMENTS CONTAINED HEREIN ARE FOR GUIDANCE ONLY TO FACILITATE AMENDMENT REQUEST THROUGH NMLS. SHOULD YOU HAVE QUESTIONS, PLEASE CONSULT LEGAL COUNSEL.