



# ND Exempt Company Registration Surrender Checklist (Company)

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## CHECKLIST SECTIONS

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## GENERAL INFORMATION

### Instruction

1. File the surrender request through NMLS.
2. There is no fee to surrender.
3. Send nothing to the ND Dept. of Financial Institutions for surrender. We may request additional information upon review of your surrender; watch your email for such requests.

### Help Resources

- [Company License Surrender Requests Quick Guide](#)
- [License Status Review & Definitions Quick Guide](#)

### Agency Contact Information

Contact ND Dept. of Financial Institutions licensing staff by phone at (701) 329-9933 or send your questions via email to [dfi@nd.gov](mailto:dfi@nd.gov) for additional assistance.

*For U.S. Postal Service:*

*ND Dept. of Financial Institutions  
Non-Depository Division  
2000 Schafer Street, Suite G  
Bismarck, ND 58501*

*For Overnight Delivery:*

*ND Dept. of Financial Institutions  
Non-Depository Division  
2000 Schafer Street, Suite G  
Bismarck, ND 58501*

THE APPLICANT/LICENSEE IS FULLY RESPONSIBLE FOR ALL OF THE REQUIREMENTS OF THE LICENSE FOR WHICH THEY ARE APPLYING. THE AGENCY SPECIFIC REQUIREMENTS CONTAINED HEREIN ARE FOR GUIDANCE ONLY TO FACILITATE APPLICATION THROUGH NMLS. SHOULD YOU HAVE QUESTIONS, PLEASE CONSULT LEGAL COUNSEL.

**REQUIREMENTS COMPLETED IN NMLS**

| Complete                 | ND Exempt Company Registration   | Submitted via... |
|--------------------------|--|------------------|
| <input type="checkbox"/> | <b>Submission of Surrender Request through Company Form (MU1):</b> Request the surrender of the license through the submission of the Company Form (MU1). See the <a href="#">Company License Surrender Requests Quick Guide</a> for instructions. | <b>NMLS</b>      |

**REQUIREMENTS SUBMITTED OUTSIDE OF NMLS**

| Complete  | ND Exempt Company Registration | Submitted via... |
|---|--------------------------------|------------------|
| No items are required to be submitted outside of NMLS for this license/registration at this time. |                                |                  |