### **CHECKLIST SECTIONS**

- General Information
- Amendments

#### **GENERAL INFORMATION**

### **Instructions**

When making changes to your record in NMLS, the New Jersey Department of Banking and Insurance requires advance notification for some changes. See the checklist below for details. See the checklist for your corresponding branch license under "New Jersey Residential Mortgage Lending Act" P.L.2009, C.53 (C.17:11C-51 through C.17:11:C-89) checklist below for details.

## **Uploading Agency-Specific Documents**

If you are required to upload documents to NMLS for an Advance Change Notice (ACN), select "Advance Change Notice" for the document type in the NMLS *Document Uploads* section. If you are required to upload documents for an amendment that doesn't require ACN, select the applicable document type in the NMLS *Document Uploads* section.

**Note:** Use the recommended filing naming convention found on the <u>Document Upload Descriptions and Examples</u>

### **Helpful Resources**

- Amendments & Advance Change Notice Quick Guide
- Document Uploads Quick Guide
- <u>Document Upload Descriptions and Examples</u>

## **Agency Contact Information**

Contact <u>the New Jersey Division of Banking and Insurance</u> licensing staff by phone at <u>(609) 292-7272, then select Banking option, then Licensing</u> or send your questions via email to <u>Bliconline@dobi.nj.gov</u> for additional assistance.

# For U.S. Postal Service:

New Jersey Department of Banking & Insurance Licensing Services Bureau, Banking P.O. Box 473 Trenton, NJ 08625

## For Overnight Delivery:

New Jersey Department of Banking & Insurance Licensing Services Bureau, Banking 20 West State Street, 8th Floor Trenton, NJ 08608

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THE APPLICANT/LICENSEE IS FULLY RESPONSIBLE FOR ALL OF THE REQUIREMENTS OF THE LICENSE FOR WHICH THEY ARE APPLYING. THE AGENCY SPECIFIC REQUIREMENTS CONTAINED HEREIN ARE FOR GUIDANCE ONLY TO FACILITATE APPLICATION THROUGH NMLS. SHOULD YOU HAVE QUESTIONS, PLEASE CONSULT LEGAL COUNSEL.

## **AMENDMENTS**

- Change of Branch Address
- Addition or Modification of Other Trade Name
- Deletion of Other Trade Name
- Change of Branch Manager
- Change of Branch Manager Disclosure Question(s)

**Note:** Information uploaded or filed in NMLS will not be viewable to the agency until the filing has been attested to and submitted through NMLS. Agency-specific requirements that should be emailed or mailed to the agency on the checklist below must be received with the appropriate checklist within five (5) business days of the electronic submission of your submission through the NMLS.

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Complete	NJ RMLA-Licensed Mortgage Servicer Registration	Submitted via
	Change of Branch Address Amendment Items	
	Change of Branch Address: Submit an ACN for a change of Branch Address through the Branch Form (MU3) in NMLS. 10-day notice must be provided for this change.  Note: Please refer to the	NMLS
Note	Change of Branch Address: \$0 per license Fees collected through NMLS are NOT REFUNDABLE OR TRANSFERABLE.	N/A
Complete	NJ RMLA-Licensed Mortgage Servicer Registration	Submitted via
	Addition or Modification of Other Trade Name Amendment Items	
	Addition or Modification of Other Trade Name: Submit an ACN for an addition or change to an Other Trade Name through the Branch Form (MU3) in NMLS. 10-day notice must be provided for this change.  Note: When adding an Other Trade Name to a Branch Form (MU3), it must also	NMLS
	be added to the Company Form (MU1).	
Note	Addition of Other Trade Name Fee: \$0 per license	N/A
	Fees collected through NMLS are NOT REFUNDABLE OR TRANSFERABLE.	
Complete	NJ RMLA-Licensed Mortgage Servicer Registration	Submitted via
	Deletion of Other Trade Name Amendment Items	
	<b>Deletion of Other Trade Name:</b> Submit an ACN for a deletion an Other Trade Name through the Branch Form (MU3) in NMLS. 10-day notice must be provided for this change.	NMLS
	<b>Note:</b> If the Other Trade Name being deleted from the Branch Form (MU3) will no longer be in use by the company, it must also be deleted from the Company Form (MU1).	
Complete	NJ RMLA-Licensed Mortgage Servicer Registration	Submitted via
	Change of Branch Manager Amendment Items	
	Addition or Modification of Branch Manager: Submit an amendment for a change in Branch Manager within the Branch Form (MU3) in NMLS.	NMLS
	Note: Please refer to your company RMLA-license amendment checklist for instructions regarding the addition or modification of a qualifying individual.	

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Complete	NJ RMLA-Licensed Mortgage Servicer Registration Change of Branch Manager Amendment Items	Submitted via
Note	Change of Branch Manager Fee: \$0 per license  Fees collected through NMLS are NOT REFUNDABLE OR TRANSFERABLE.	N/A

Complete	NJ RMLA-Licensed Mortgage Servicer Registration Change to Branch Manager Disclosure Question(s) Amendment Items	Submitted via
	Change of Disclosure Question(s): Submit an amendment for a change to Disclosure Question response(s) through the Branch Manager's Individual Form (MU2) in NMLS.	NMLS
	Changing a Response from No to Yes: Provide a complete and detailed explanation and document upload for each response that changes from "No" to "Yes" for the Branch Manager.  See the Individual Disclosure Explanations Quick Guide and the Disclosure Explanations - Document Upload Quick Guide for instructions.	Upload in NMLS in the Disclosure Explanations section of the Individual Form (MU2).
	Changing a Response from Yes to No: When changing a Disclosure Question response from Yes to No for the Branch Manager, you will be required to remove the question from the associated Disclosure Explanation and provide an Amendment Reason.  You may select "Add Explanation for "No" Responses" and provide an explanation for each response that changes from "Yes" to "No" for the Branch Manager. You may also upload a document (PDF) related to the explanation.  See the Individual Disclosure Explanations Quick Guide and the Disclosure Explanations - Document Upload Quick Guide for instructions.	NMLS

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