CHECKLIST SECTIONS

- General Information
- Requirements Completed in NMLS
- Requirements Submitted Outside of NMLS

GENERAL INFORMATION

Instruction

1. File the surrender request through NMLS.
2. There is no fee to surrender.
3. Submit the items listed below. Please note that the New York Department of Financial Services (NY-DFS) also may require the submission of additional documentation and/or information, and the entity requesting surrender may be required to address any outstanding supervisory, regulatory or similar issues.

Help Resources

- Company License Surrender Requests Quick Guide
- License Status Review & Definitions Quick Guide

Agency Contact Information

Contact NY-DFS licensing staff by phone at (212) 709-5507.

For U.S. Postal Service & Overnight Delivery:
New York State Department of Financial Services
Licensed Financial Services – Supervision Unit
One State Street
New York, NY 10004-1511

THE APPLICANT/LICENSEE IS FULLY RESPONSIBLE FOR ALL OF THE REQUIREMENTS OF THE LICENSE FOR WHICH THEY ARE APPLYING. THE AGENCY SPECIFIC REQUIREMENTS CONTAINED HEREIN ARE FOR GUIDANCE ONLY TO FACILITATE APPLICATION THROUGH NMLS. SHOULD YOU HAVE QUESTIONS, PLEASE CONSULT LEGAL COUNSEL.
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<th>REQUIREMENTS COMPLETED IN NMLS</th>
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<tbody>
<tr>
<td>Complete</td>
<td>NY Licensed Lender License</td>
<td>Submitted via...</td>
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|  | Submission of Surrender Request through Company Form (MU1): Request the surrender of the license through the submission of the Company Form (MU1). See the Company License Surrender Requests Quick Guide for instructions.  
**Note:** Before requesting to surrender this license in NMLS, update the following information or confirm that it is up to date in NMLS:  
- The name, title, address and telephone number of the primary Consumer Complaint contact listed in the Contact Employee section of the Company Form (MU1).  
- The physical location where the books and records of the Company will be maintained in the Books and Records section of the Company Form (MU1).  
- The name, title, address, and telephone number of the record custodian listed in the Books and Records section of the Company Form (MU1). | NMLS |

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</table>
|  | **Surrender Statement:** Submit a letter on Company letterhead, signed by an authorized executive officer of the Company, containing the following information:  
a. A statement that the Company/headquarters license and all branch licenses (where applicable) are being surrendered.  
b. A statement concerning the disposition of any New York loan receivables.  
c. A statement that, upon surrender, the Company will not engage in any further activity requiring a New York Licensed Lender License. | Mail to NY-DFS |
|  | **Return Original Licenses:** Return all original licenses issued by NY-DFS. Copies are not acceptable. If a license is lost or misplaced, an executed and notarized Lost License Affidavit must be submitted; click [here](#) to access the form. | Mail to NY-DFS |