Instructions

1. Licensees must notify the Pennsylvania Department of Banking and Securities within ten (10) days of terminating business under this license. Notification must be made by surrendering the license through Form MU3 in NMLS.

2. Mortgage originators sponsored by the company and working from this surrendered branch location will be moved into an “Approved-Inactive” status as of the date of surrender for this branch location.

3. Branch license surrender requests are processed using Form MU3.

4. You are required to provide on the Form MU3 the name of the Records Custodian and the physical location where the books and records will be maintained subsequent to license surrender. If the Records Custodian or location changes after license surrender, you must provide written notice of changes to the Pennsylvania Department of Banking and Securities. Books and records must be kept for four (4) years from the date of license surrender.

5. Surrender requests will not be processed without receipt of all items listed on the checklist.

6. The acceptance of the surrender request is at the Department’s discretion.

7. Pennsylvania does not charge fees for surrender at this time.

8. Jurisdiction-specific requirements as identified on the checklist below must be received with this checklist within 5 business days of the electronic submission of your surrender through the NMLS at the following:

   For U.S. Postal Service:
   The Pennsylvania
   Department of Banking and Securities
   Non-Depository Licensing Office
   17 N 2nd Street, Ste 1300
   Harrisburg, PA 17101-2290

   For Overnight Delivery:
   The Pennsylvania
   Department of Banking and Securities
   Non-Depository Licensing Office
   17 N 2nd Street, Ste 1300
   Harrisburg, PA 17101-2290
### NMLS Unique ID Number: _____________________
Licensee Legal Name: ______________________________________

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<thead>
<tr>
<th>COMPLETED/ATTACHED</th>
<th>NOT APPLICABLE</th>
<th>ITEM</th>
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<td>☐</td>
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<td>SURRENDER REASON. Return with this checklist a letter that provides the reason for license surrender.</td>
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| ☐                  | ☐              | HAVE YOU SURRENDERED OR HAVE INTENTIONS OF SURRENDERING YOUR MORTGAGE LICENSE(S) IN ANY OTHER STATE OR JURISDICTION?  
No: _____ Yes: _____  
If yes, return with this checklist a letter listing any other states/jurisdictions where you have or intend to surrender license. |
| ☐                  | ☐              | ARRANGEMENTS TO PAY ALL CREDITORS. Return with this checklist a letter describing the Arrangement the branch has made to pay all creditors (if applicable). |
| ☐                  | ☐              | BOOKS AND RECORDS INFORMATION. Verify that the information on Form MU3 for the Books and Records section has been updated and is accurate. |

**WHO TO CONTACT** – Contact the PA Department of Banking and Securities, Non-Depository licensing staff by phone at (717) 787-3717 or send your questions via e-mail to ra-asklicensing@pa.gov, for additional assistance.

**THE APPLICANT/LICENSEE IS FULLY RESPONSIBLE FOR ALL OF THE REQUIREMENTS OF THE LICENSE FOR WHICH THEY ARE SURRENDERING. THE JURISDICTION SPECIFIC REQUIREMENTS CONTAINED HEREIN ARE FOR GUIDANCE ONLY TO FACILITATE SURRENDER THROUGH THE NMLS. SHOULD YOU HAVE QUESTIONS, PLEASE CONSULT LEGAL COUNSEL.**