Pennsylvania Sole Proprietor Mortgage Originator License

Surrender Request
Jurisdiction-Specific Requirements

Instructions

1. Licensees must notify the Pennsylvania Department of Banking and Securities within ten (10) days of terminating business under this license. Notification must be made by surrendering the license through Form MU4 in NMLS.
2. Surrender requests will not be processed without receipt of all items listed on the checklist.
3. The acceptance of the surrender is at the Department’s discretion.
4. Pennsylvania does not charge fees for surrender at this time.
5. Jurisdiction-specific requirements as identified on the checklist below must be received with this checklist within 5 business days of the electronic submission of your surrender through the NMLS at the following:

   For U.S. Postal Service:
   The Pennsylvania
   Department of Banking and Securities
   Non-Depository Licensing Office
   17 N 2nd Street
   Ste 1300
   Harrisburg, PA 17101-2290

   For Overnight Delivery:
   The Pennsylvania
   Department of Banking and Securities
   Non-Depository Licensing Office
   17 N 2nd Street
   Ste 1300
   Harrisburg, PA 17101-2290

NMLS Unique ID Number: ____________________
Licensee Legal Name: ______________________________________

WHO TO CONTACT – Contact the PA Department of Banking and Securities, Non-Depository licensing staff by phone at (717) 787-3717 or send your questions via e-mail to ra-asklicensing@pa.gov for additional assistance.

THE APPLICANT/LICENSEE IS FULLY RESPONSIBLE FOR ALL OF THE REQUIREMENTS OF THE LICENSE FOR WHICH THEY ARE SURRENDERING. THE JURISDICTION SPECIFIC REQUIREMENTS CONTAINED HEREIN ARE FOR GUIDANCE ONLY TO FACILITATE SURRENDER APPLICATION THROUGH THE NMLS. SHOULD YOU HAVE QUESTIONS, PLEASE CONSULT LEGAL COUNSEL.