Instructions

1. The following amendments require instructions or items uploaded/e-mailed into NMLS. No fees are payable to the Rhode Island Division of Banking for these amendments and the Agency Requirements Checklist does not have to be sent to the Division.

   □ Change of Employment
   □ Change(s) to any disclosure questions that result in an affirmative response.

   (Check all of the above that apply)

2. NMLS processing fees are collected through the NMLS and ARE NOT REFUNDABLE.

3. Any Amendment must also be reported on the applicable Form MU4.

4. Agency requirements should be completed first as identified on the checklist below as they must be received with this checklist within 5 business days, where applicable, of the electronic submission of your application through the NMLS at the following:

   For U.S. Postal Service/Overnight Delivery

   Emilia Giorno
   Bank Examiner
   State of Rhode Island
   Department of Business Regulation
   Division of Banking
   1511 Pontiac Avenue, Bldg. 68-2
   Cranston, Rhode Island 02920
   E-mail address: egiorno@dbr.ri.gov
NMLS Unique ID Number: __________________________
Applicant Legal Name: __________________________

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<tr>
<th>FILED IN NMLS</th>
<th>NOT APPLICABLE</th>
<th>ITEM</th>
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<td>DISCLOSURE QUESTIONS. Upload Disclosure explanation(s) and any applicable documentation into NMLS providing complete details of all events or proceedings for any “Yes” answer(s) to any of the Disclosure questions on the Form MU4.</td>
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<td>CREDIT REPORT. (For changes in employment relationships only, such as leaving one employer and getting hired by another employer) Request a Credit Report if previous requests were more than ninety (90) days prior to the filing of this application.</td>
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WHO TO CONTACT – Contact Division of Banking licensing staff by phone at 401-462-9503 or send your questions e-mail to bankinquiry@dbr.ri.gov for additional assistance. Always include your NMLS Unique ID Number when corresponding with the Division of Banking.

YOU ARE NOT AUTHORIZED TO ENGAGE IN MORTGAGE LOAN ORIGINATION ACTIVITIES IN THE STATE OF RHODE ISLAND UNTIL YOU HAVE RECEIVED APPROVAL THROUGH THE NMLS AND HAVE RECEIVED A LICENSE CERTIFICATE FROM THE RHODE ISLAND DEPARTMENT OF BUSINESS REGULATION.