CHECKLIST SECTIONS
- General Information
- Amendments

GENERAL INFORMATION

Instructions
When making changes to your record in NMLS, the New York State Department of Financial Services (NY-DFS) requires advance notification for some changes. The following amendments require advance notification to the Department:

- Change in Legal Name
- Change of Main Address
- Change in Legal Status
- Change of Control
- Change of Executive Officers, Directors or Qualifier
- Addition or Deletion of Other Trade Names

Note: The above amendments should only be processed under the company’s NY Mortgage Banker License MU1 and associated MU2 forms.

PLEASE CONSULT NY MORTGAGE BANKER LICENSE AMENDMENT CHECKLIST FOR INSTRUCTIONS AND CONTACT INFORMATION