Instructions

When making changes to your record in NMLS the West Virginia Division of Financial Institutions (“WVDFI”) requires advance notification for some changes, see checklist below for details.

Fees

1. WVDFI collects amendment fees for the following changes through NMLS during filing:
   - Change of Address $100 per license

2. All fees collected through NMLS ARE NOT REFUNDABLE.

How to submit Agency specific documents

1. If you are required to upload document(s) on NMLS for an Advance Change Notice:
   a. In the NMLS document upload section; you must select Advance Change Notice for document type.
   b. Documents required to be uploaded through Advance Change Notice are considered proposed documents. You will be required to upload final documents in the NMLS document upload section under the appropriate document type on the effective date. If there isn’t a document type available for a specific Advance Change Notice event you must mail document(s) directly to WVDFI.

2. If you are required to upload documents for an amendment that doesn’t require Advance Change Notice:
   a. In the NMLS document upload section, select the applicable document type.

3. If you are required to provide document(s) outside of NMLS:
   a. You must mail the document(s) along with the checklist within 5 business days to the following address:

   **For U.S. Postal Service:**
   West Virginia Division of Financial Institutions
   900 Pennsylvania Avenue, Suite 306
   Charleston, WV 25302-3542

   **For Overnight Delivery:**
   West Virginia Division of Financial Institutions
   900 Pennsylvania Avenue, Suite 306
   Charleston, WV 25302-3542
NMLS Unique ID Number: ____________________
Applicant Legal Name: ____________________

<table>
<thead>
<tr>
<th>FILED IN NMLS</th>
<th>ATTACHED</th>
<th>NOT APPLICABLE</th>
<th>REQUIRED ADVANCE CHANGE NOTICE ITEM</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>N/A</td>
<td></td>
<td>CHANGE OF BRANCH ADDRESS. Submit an ACN for a Change of Main (Corporate) Address through the Branch (MU3) form through NMLS. Thirty days’ notice must be provided for this change.</td>
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<table>
<thead>
<tr>
<th>FILED IN NMLS</th>
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<th>NOT APPLICABLE</th>
<th>REQUIRED AMENDMENT ITEM</th>
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</thead>
<tbody>
<tr>
<td></td>
<td>N/A</td>
<td></td>
<td>DISCLOSURE QUESTIONS. Provide complete details of all events or proceedings for any “Yes” answer to any of the Disclosure questions for company or any new Control Person or any new Manager.</td>
</tr>
</tbody>
</table>

WHO TO CONTACT – Contact West Virginia Division of Financial Institutions licensing staff by phone at (304) 558-2294 or send your questions via e-mail to:

Steven Burdette at sburdette@wvdob.org
Tracy Hudson at thudson@wvdob.org
Ruth Holt at rholt@wvdob.org

THE APPLICANT/LICENSEE IS FULLY RESPONSIBLE FOR ALL OF THE REQUIREMENTS OF THE LICENSE FOR WHICH THEY ARE AMENDING. THE AGENCY SPECIFIC REQUIREMENTS CONTAINED HEREIN ARE FOR GUIDANCE ONLY TO FACILITATE AMENDMENT REQUEST THROUGH NMLS. SHOULD YOU HAVE QUESTIONS, PLEASE CONSULT LEGAL COUNSEL.