



S.2155 - Temporary Authority

License Statuses

License Statuses

Pending Incomplete

- All applications have an initial status history entry of Pending-Incomplete

Pending - Deficient (Temporary Authority Eligible)

- Pending CBC Results
- or**
- Pending sponsorship
- Meets other eligibility requirements

Pending - Deficient (Temporary Authority)

- CBC results satisfied
- Pending Testing & Education requirements
- Pending license item

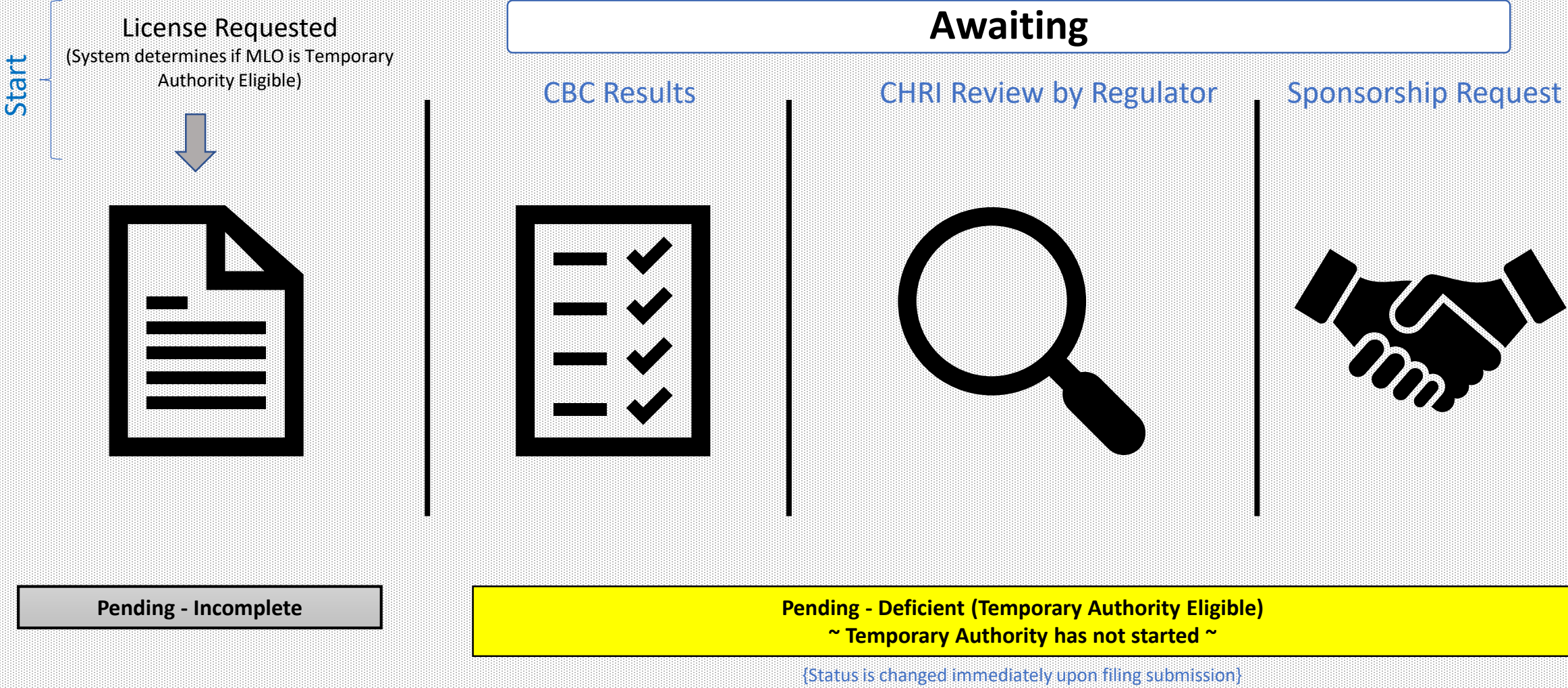
Pending - Review (Temporary Authority)

- Testing & Education requirements met

Pre - Approved (Temporary Authority)

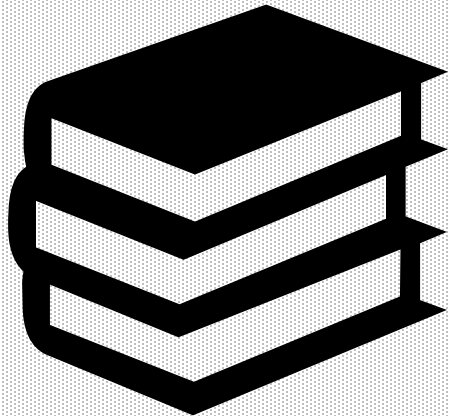
- T&E requirements have NOT been met by the licensee but the regulator has determined that all other licensing requirements have been met
- Once T&E requirements are met, the system automatically approves the license

Pending - Incomplete and Pending - Deficient (Temporary Authority Eligible)

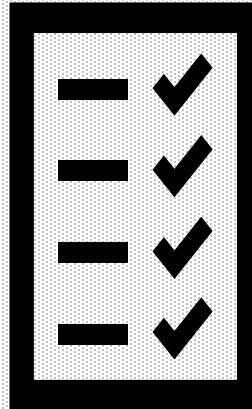


Pending – Deficient (Temporary Authority)

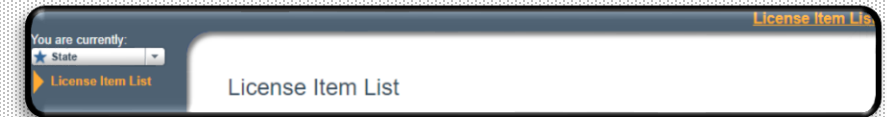
Pending T & E Requirements



CBC Results Satisfied



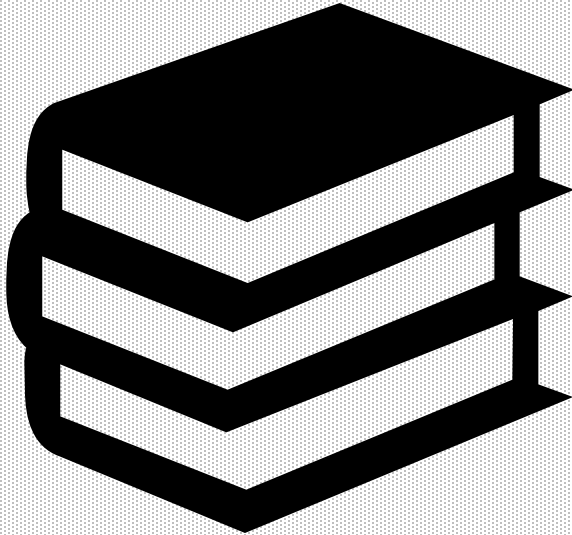
Pending License Items



Temporary Authority is Active

Pending – Review (Temporary Authority)

T & E Requirements Met

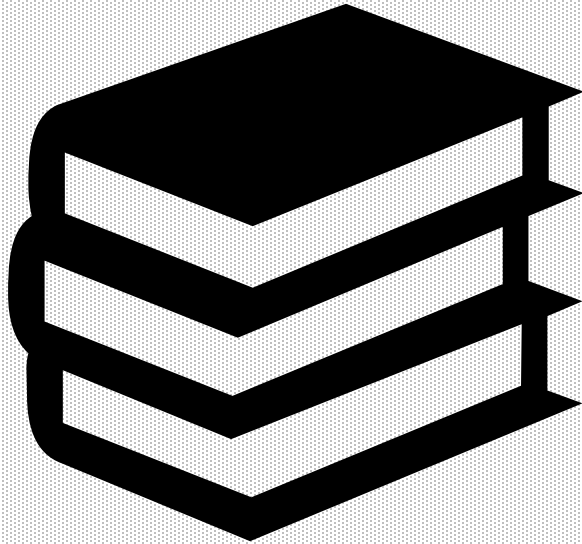


- **No regulator-set license items exist**

Temporary Authority is Active

Pre-Approved – (Temporary Authority)

T & E Requirements Pending



- T&E requirements have **NOT** been met by the licensee but the regulator has determined all other licensing requirements have been met
- Once T&E requirements are met, the system automatically approves the license

Temporary Authority is Active

License Status Workflow

License Status Transitions

START

TA eligible license requested

Pending - Incomplete

CBC, Sponsorship or Regulator CHRI review awaited

Pending - Deficient (Temporary Authority Eligible)

Withdrawal Requested

Withdrawn

Pending - Deficient (Temporary Authority) (T&E reqs NOT met OR license item exists)

Pre-approved (Temporary Authority) (T&E reqs NOT met OR license item exists)

Pending - Deficient

Pending - Review (Temporary Authority) (T&E reqs met)

Approved (T&E reqs met)

Denied

Legend

New Status

New Status

New Status

New Status

Existing Status

System workflow
Regulator workflow
Individual workflow



Send your questions to TA@CSBS.ORG. Be sure to favorite the [Temporary Authority to Operate page](#) on the NMLS Resource Center.