Nationwide Mortgage Licensing System & Registry

Entitlement
Company Account Request Form

This form should be used by any company seeking access to the Nationwide Mortgage Licensing System & Registry (NMLS) to create a company record in NMLS to apply for or maintain a state license and/or to register mortgage loan originators. Note: This form should be used by state regulated mortgage companies and/or federal agency-regulated institutions (hereafter referred to as "company") to request an NMLS record for the first time. For a change in a company account or Account Administrator please call the NMLS Call Center at (204) 386-4444.

If your company: (i) has an Account Administrator with a username and password to access NMLS, (ii) has been issued an NMLS Unique ID, (iii) has submitted an UUI or MUUR filing on NMLS, and/or (iv) has an approved license/registration in NMLS, you should answer "yes" to the question below. If this is your company's first interaction with NMLS, please answer "no" to the question below.

If you are unsure if you have a record, you may look up your company on NMLS Consumer Access or contact the NMLS Entitlement Group at (204) 386-4444.

Does your company currently have a record in the Nationwide Mortgage Licensing System & Registry (NMLS)?  ☐ Yes  ☐ No
Company Account Request Form

Enter the characters as they appear in the image below. This step helps prevent unauthorized use of NMLS by automated programs.

[Image ofCAPTCHA with the characters 8F52P]

Previous Next Cancel
Company Account Request Form

If your company plans to seek licensure or registration with a state regulatory agency and/or manage mortgage loan originator licenses with a state regulatory agency, select State: Non-Depository below.

If your company is supervised by a federal regulatory agency (i.e., OCC, OTS, FDIC, FRB, NCUA or PCA) AND is required to register mortgage loan originators, select Federal below.

Make one or more selections below based on your company’s planned activities.

☐ State: Non-Depository
☑ Federal
Company Account Request Form

Before continuing with the form, please read Completing the Company Account Request Form.

Provide the information requested below and click Next.

<table>
<thead>
<tr>
<th>Company Information</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Company Full Legal Name</strong>: Road Warrior Mortgage Company</td>
</tr>
<tr>
<td><strong>IRS Employer Identification Number</strong>: 85-4960469</td>
</tr>
<tr>
<td><strong>Email</strong>: <a href="mailto:janet.glenn@ffne.org">janet.glenn@ffne.org</a></td>
</tr>
<tr>
<td><strong>Phone</strong>: 240-200-4444</td>
</tr>
<tr>
<td><strong>Fax</strong>: 240-200-4444</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Company Headquarters Location</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Street Address</strong>: 123 Main Street</td>
</tr>
<tr>
<td><strong>City</strong>: Bethesda</td>
</tr>
<tr>
<td><strong>State</strong>: Maryland</td>
</tr>
<tr>
<td><strong>Country/Province</strong>: United States</td>
</tr>
<tr>
<td><strong>Postal Code</strong>: 20810</td>
</tr>
</tbody>
</table>

[Next] [Cancel]
Company Account Request Form

The information below is required by the Federal Agencies.

If regulated by more than one federal agency, please select the federal agency that is primarily responsible for regulating your institution. If you answer yes to “Are you a subsidiary of a depository institution or a Farm Credit System institution?” provide the RSSD ID number for your parent depository institution or Farm Credit System institution in the “Parent RSSD ID” field. For further instructions on how to complete this section, please read Completing the Company Account Request Form.

If your company does not have an RSSD ID number, please contact your primary federal regulator. A company is not eligible for an NMLS record with a federal agency association if it does not have an RSSD ID number. If you cannot obtain an RSSD ID number, please return to the first page of the Company Account Request Form and deselect the Federal checkbox.

Information for Federal Agency-Regulated Institutions

RSSD ID: [ ]

Primary Federal Agency: [ ] Federal Deposit Insurance Corporation

Are you a subsidiary of a depository institution or a Farm Credit System institution? [ ] Yes [ ] No

Parent RSSD ID: [ ]
Company Account Request Form

The Account Administrators identified below will be issued login credentials to the record reserved for your company and will have full rights to perform all activities with the record, including submitting to state and/or federal regulators. For information regarding Account Administrator selection, including which individuals are authorized to act as Account Administrators, please read Choosing Your Account Administrators.

Account Administrators can establish additional users for your company record and control their access. If you wish to establish additional Account Administrators, please contact the NMLS Entitlement Group at (240) 396-4444 to see if you are eligible.

**Account Administrator #1**

| First Name: | Joe |
| Middle Name: |  |
| Last Name: | Smith |
| Suffix (Jr., Sr., etc.): |  |
| Title: | Road Warrior |
| Email: | john.smith@fms.org |
| Confirm Email: | john.smith@fms.org |
| Phone: | 240-396-4444 | 000-000-0000 |

**Account Administrator #2**

| First Name: | Sue |
| Middle Name: |  |
| Last Name: | Turner |
| Suffix (Jr., Sr., etc.): |  |
| Title: | Road Warrior |
| Email: | sue.turner@fms.org |
| Confirm Email: | sue.turner@fms.org |
| Phone: | 240-396-4444 | 000-000-0000 |
Company Account Request Form

Submitter

I am authorized to submit this request on behalf of the company and confirm the information above is true and accurate and that individuals designated as Contact Employee and/or Account Administrator are duly authorized to act in such capacity to the best of my knowledge. I am requesting that a base record be established on the Nationwide Mortgage Licensing System & Registry (NMLS) and the Account Administrator(s) identified in this form be issued credentials with full rights to represent the company in using NMLS.

Request Submitted By: [Name]
Title: [Title]
Email: [Email]
Confirm Email: [Confirm Email]
Phone: [Phone]

[Accept] [Cancel]
Thank you for submitting your request. Your request will be processed within the next 2 to 3 business days.

Go to the NMLS Resource Center for more information about using NMLS to manage licenses and registrations with state and/or federal regulators.
Nationwide Mortgage Licensing System & Registry

Initial Login and Two Factor Authentication
Initial Login and Two Factor Authentication

THIS EMAIL CONTAINS IMPORTANT INFORMATION PERTAINING TO YOUR ABILITY TO ACCESS THE NATIONWIDE MORTGAGE LICENSING SYSTEM & REGISTRY - DO NOT LOSE THIS MESSAGE!

Your Username associated with Road Warrior Mortgage Company (29706) is BinghamR
Your password has been sent in a separate email.

You have the following role(s):
Perform Account Admin Functions, Perform Company Account Admin Functions, Manage Notification Contacts, Create and Submit MU1R Filings, Create and submit MU4R filings, Pay MU4R Fees, View MLO Record Access, Perform MLO Batch Upload, Manage Employment Records, Pay MU4R Fees, View individual composite, View Institution Composite, View Confidential Information, View Criminal Background Check Result Status, View RAP Sheet, Financial Admin, Manage Federal Reports

THIS COMMUNICATION IS INTENDED ONLY FOR THE USE OF THE INDIVIDUAL OR ENTITY TO WHICH IT IS ADDRESSED AND CONTAINS OR MAY CONTAIN INFORMATION THAT IS PRIVILEGED, CONFIDENTIAL OR EXEMPT FROM DISCLOSURE UNDER APPLICABLE LAW. IF THE READER OF THIS COMMUNICATION IS NOT THE INTENDED RECIPIENT (OR THE EMPLOYEE OR AGENT RESPONSIBLE FOR DELIVERING TO THE INTENDED RECIPIENT), YOU ARE HEREBY NOTIFIED THAT ANY DISSEMINATION, DISTRIBUTION, OR COPYING OF THIS COMMUNICATION IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, PLEASE DISREGARD AND DELETE THIS COMMUNICATION, AND DO NOT DISSEminate OR RETAIN ANY COPY OF THIS COMMUNICATION.
Initial Login and Two Factor Authentication

THIS E-MAIL CONTAINS IMPORTANT INFORMATION PERTAINING TO YOUR ABILITY TO ACCESS THE NATIONWIDE MORTGAGE LICENSING SYSTEM & REGISTRY - DO NOT LOSE THIS MESSAGE!

The temporary password for your account associated with Road Warrior Mortgage Company (29706) is V-2Qz)\n
Your Username has been sent in a separate email.

Log into the Nationwide Mortgage Licensing System & Registry (NMLS) by clicking the ‘Log Into NMLS’ button in the upper right corner of the NMLS Resource Center and change your password now.

Your new password must meet the following criteria:

1) A password must be between 8 and 16 characters in length.
2) A password can not start with more than 3 characters from the beginning of the user name.
3) A password must contain characters from three of the four following categories:

   - English uppercase characters (A to Z)
   - English lowercase characters (a to z)
   - Base 10 digits (0 to 9)
   - Special characters (For example, #, $, and ‘)  

Tips on copying and pasting the system-generated temporary password:

Due to the cryptic nature of the system-generated password, it may be easier for you to copy and paste the password from this e-mail into the password field on the NMLS login page. To copy and paste the password from this e-mail:

1) highlight the temporary password with your mouse (be sure to include ONLY the characters in the password and NOT the blank spaces that precede or follow the password),
2) with the password highlighted, press both the Ctrl key and letter 'C' to copy the password,
3) position your cursor in the password field in the NMLS login screen and press both the Ctrl key and letter 'V' to paste the password into the password field.

You will need to again paste (press Ctrl key and V) this password into the Password Change screen after logging into NMLS.

Note: these instructions work for PC users only.

THIS COMMUNICATION IS INTENDED ONLY FOR THE USE OF THE INDIVIDUAL OR ENTITY TO WHICH IT IS ADDRESSED AND CONTAINS OR MAY CONTAIN INFORMATION THAT IS PRIVILEGED, CONFIDENTIAL OR EXEMPT FROM DISCLOSURE UNDER APPLICABLE LAW. If the reader of this communication is not the intended recipient or the employee or agent responsible for delivering to the intended recipient, you are hereby notified that any dissemination, distribution, or copying of this communication is strictly prohibited. If you have received this communication in error, please disregard and delete this communication, and do not disseminate or retain any copy of this communication.
Initial Login and Two Factor Authentication

Logging In:

- Your username and password were sent to you from NMLS_NotificationsTraining@statedmortgageregistry.com when your user account was created.
- If you are not able to locate your username and/or password and you are a company/institution or regulator/agency user, contact your organization’s account administrator.
- If you are an individual user and need assistance, contact the NMLS Entitlement Group at (240) 386-4444.
Initial Login and Two Factor Authentication

Industry Terms of Use

1. Agreement

This Applicant Agreement ("Agreement") constitutes an agreement between You and State Regulatory Registry LLC ("SRR") and governs Your use of this website and the various on-line software applications, materials and services provided by SRR, affiliates of SRR and third parties in connection therewith (referred to as the "Nationwide Mortgage Licensing System and Registry," "NMLS" or the "System"). You must obtain a username and password and establish an account ("Your Account" or "Applicant’s Account") in order to access the System. You are responsible for all access to and use of the System under Your username and password.

2. Definitions

The following terms have the meanings that follow when used in this Agreement:

The terms “Applicant,” “You” and “Your” as used in this Agreement mean you as an individual as well as any corporate or other legal entity on whose behalf you are acting.

The term "Applicant Data" as used in this Agreement means (i) the information submitted by Applicants or third parties in connection with an Application or in connection with the renewal or maintenance of a Mortgage License or a Registration, (ii) the information submitted by a depository institution that employs
Register VIP Credential with NMLS

If you need a VeriSign Identity Protection (VIP) Credential:
Whether you need to get your first Credential or you are replacing one that has been lost or stolen, visit the VeriSign Identity Protection (VIP) website to choose the Credential that is right for you.

If you already have a VIP Credential:
You must pay an Annual Subscription Fee prior to registering your Credential with NMLS and annually thereafter. Click Pay Fee to submit payment. If you just submitted payment for your subscription fee, it may take a few minutes before your payment is processed. Once your payment has been processed, you will need to refresh this page (using the browser refresh option) for the Credential Registration option to become available.
Initial Login and Two Factor Authentication

Section 2-9

One Time Payment

PAYMENT TERMS AND CONDITIONS

Thank you for using the Nationwide Mortgage Licensing System & Registry (NMLS) for your mortgage licensing or registration needs. Licensing and registration payment services on this website (the "Payment Site") are provided by the State Regulatory Registry LLC ("SRR"), and are subject to these payment terms and conditions (these "Payment Terms"). To the extent that you use the Payment Site, these Payment Terms supplement, and are incorporated into, the user agreement (Applicant Agreement or Agency Agreement) applicable to you for your use of NMLS. It is important that you read carefully and understand these Payment Terms. You must agree to these Payment Terms prior to using the Payment Site to make payments to register for SAFE Mortgage Loan Originator Test Components, to apply for, amend or renew a license or registration, or for subscription fees. If you do not agree to these Payment Terms, please do not click "I agree", and do not use the Payment Site to make any payments. We reserve the right at any time to change these Payment Terms. Any changes we make will be effective immediately.

No Refunds or Changes. All fees paid through NMLS are non-refundable, non-transferable and non-transferable.

Making Payments. The Payment Site is solely provided to allow you and other users to make payments to register for SAFE Mortgage Loan Originator Test Components, or to make payments to apply for, renew, or amend a license or registration. Requirement to pay fees is a condition of obtaining a license or registration. If you do not agree to the Payment Terms, you should not use the Payment Site.

You are not logged in | Log in

© 2011 SRR, LLC
Initial Login and Two Factor Authentication

One Time Payment
ALL NMLS SYSTEM PROCESSING FEES ARE NON-REFUNDABLE.

Invoice Details
Payment Amount $66.00 Payment Date: 01/21/2011

Payment Type
- Credit Card
- Bank Account

Credit Card Information
* Indicates a mandatory field where applicable.

- Credit Card Type: MasterCard
- Credit Card Number: 5555555555554444
- Credit Card Expiration: Month 05 Year 2013
- Card Security ID Number: 123
- Name on Credit Card: John Doe
- Billing Address: 123 Main Street
- City: Rockville
- State: MD
- Zip Code: 20850

WARNING: Once you click Confirm and Submit, your payment will be submitted. You will not have another chance to correct payment.

Important note about browser compatibility
This site is only certified for the following web browsers: Internet Explorer version 5.0 and above, plus Mozilla Firefox 3 and above.

© 2011 SRR, LLC
Initial Login and Two Factor Authentication

Login

User Name: [hidden]  
Password: [hidden]  

Forgot your password? OR Request an Account

Logging In:
- Your user name and password were sent to you from NMLS_NotificationsTraining@staterate mortgageregistry.com when your user account was created.
- If you are not able to locate your user name and/or password and you are a company/institution or regulator/agency user, contact your organization’s account administrator.
- If you are an individual user and need assistance, contact the NMLS Entitlement Group at (240) 386-4444.

Log In
Industry Terms of Use

1. Agreement:

This Applicant Agreement ("Agreement") constitutes an agreement between You and State Regulatory Registry LLC ("SRR") and governs Your use of this website and the various online software applications, materials and services provided by SRR, affiliates of SRR and third parties in connection therewith (referred to as the "Nationwide Mortgage Licensing System and Registry," "NMLS" or the "System"). You must obtain a username and password and establish an account ("Your Account" or "Applicant's Account") in order to access the System. You are responsible for all access to and use of the System under Your username and password.

2. Definitions:

The following terms have the meanings that follow when used in this Agreement:

The terms "Applicant," "You" and "Your" as used in this Agreement mean you as an individual as well as any corporate or other legal entity on whose behalf you are acting.

The term "Applicant Data" as used in this Agreement means (i) the information submitted by Applicants or third parties in connection with an Application or in connection with the renewal or maintenance of a Mortgage License or a Registration, (ii) the information submitted by a depository institution that employs
Initial Login and Two Factor Authentication

Register VIP Credential with NMLS

If you need a VeriSign Identity Protection (VIP) Credential:
Whether you need to get your first Credential or you are replacing one that has been lost or stolen, visit the VeriSign Identity Protection (VIP) website to choose the Credential that is right for you.

If you already have a VIP Credential:
Click Register to register your Credential with NMLS.

VeriSign Identity Protection

Cancel
Register VIP Credential with NMLS

You must register your VeriSign Identity Protection (VIP) Credential with NMLS.

If you do not have a VIP Credential, go to the VIP website to choose a Credential.

Follow the steps below and click Register to complete the Credential registration process.

Step 1: Enter the Credential ID from your VIP Credential:

[Input field]

Where is the Credential ID?

Step 2: Enter a security code from your VIP Credential here:

[Input field]

How do I generate a Security Code?

Step 3: Enter a second security code from your VIP Credential here:

[Input field]

How do I generate a second Security Code?

Register
Initial Login and Two Factor Authentication

Change your Password

You logged in with a system-generated password. You must change it before continuing.

Passwords must be between 8 and 16 characters in length, and contain characters from 3 of the following 4 categories:
- English uppercase characters (A to Z)
- English lowercase characters (a to z)
- Base 16 digits (0 to 9)
- Special characters (For example, #, $, and *)

Current Password: **********
New Password: **********
Confirm New Password: **********

Change Password
Initial Login and Two Factor Authentication

Change Password Question and Answer

You have not yet set your password question and answer, which you can use to reset your password if you forget it in the future. You must provide the question and answer before you continue.

If you forget your password and need to have it reset, you will use this password question and answer to confirm your identity.

Password: ********
Password Question: Is
Password Answer: Yes

Change Password Question and Answer
Register VIP Credential with NMLS

Credential Registration Successful

Congratulations! Your VIP Credential has been registered. In order to access NMLS you will be required to enter your username and password and provide a security code from your registered Credential.

Click Continue below to complete the NMLS login process.

Continue
Welcome to the Nationwide Mortgage Licensing System & Registry!

The Nationwide Mortgage Licensing System & Registry (NMLS) allows for the creation and maintenance of a single record (Form MUUR) for your institution. Your institution also can create and submit mortgage loan originator (MLO) filings (Form MUAR) and manage employment records for MLOs associated with your institution.

Below please find a list of actions available by tab. Available actions/tables vary based on user roles assigned.

**FILING**
Choose this tab to complete, amend and/or submit MUUR filings for your institution or any Federal MLO Registration filings (Form MUAR) for MLOs associated with your institution. You also can view a list of MLO records your institution has access to.

**TASKS**
Choose this tab to perform an MLO Batch Upload, view previous MLO Batch Upload files including information regarding their disposition, or manage Employment Records. Users with the Financial Admin role should choose this tab to access and pay outstanding invoices for MUAR fees.

**COMPOSITE VIEW**
Choose this tab to view your institution record or the record of any MLO associated with your institution.

**ADMIN**
Choose this tab to perform administrative functions for your institution's system users (e.g. resetting passwords, managing user roles and assigning system notifications).

**REPORTS**
Choose this tab to request available reports.

On this page:
- Select My Account above to change your NMLS user settings.
- Select Invoices above to repay failed invoices or view payment history.

Access the Federal Registration page of the NMLS Resources Center for information regarding the registration process that can assist you in using NMLS to create and maintain institution and MLO records to meet federal registration requirements.

**NOTE:** NMLS is the system of record for all information contained within it. Any actions you take on NMLS are governed by the User Agreement and your legal obligations to the regulatory agencies participating in NMLS.

© 2011 SRR, LLC
Nationwide Mortgage Licensing System & Registry

NMLS Navigation
Nationwide Mortgage Licensing System & Registry

MU1R Filing and Payment
Welcome to the Nationwide Mortgage Licensing System & Registry!

The Nationwide Mortgage Licensing System & Registry (NMLS) allows for the creation and maintenance of a single record (Form MU1R) for your institution. Your institution also can create and submit mortgage loan originator (MLO) filings (Form MU1R) and manage employment records for MLOs associated with your institution.

Below please find a list of actions available by tab. Available actions/fields vary based on user roles assigned.

**FILING**
Choose this tab to complete, amend and/or submit MU1R filings for your institution or any Federal MLO Registration filings (Form MU1R) for MLOs associated with your institution. You also can view a list of MLO records your institution has access to.

**TASKS**
Choose this tab to perform an MLO Batch Upload, view previous MLO Batch Upload files, including information regarding their disposition, or manage Employment Records. Users with the Financial Admin role should choose this tab to access and pay outstanding invoices for MU1R fees.

**COMPOSITE VIEW**
Choose this tab to view your Institution record or the record of any MLO associated with your institution.

**ADMIN**
Choose this tab to perform administrative functions for your institution’s system users (e.g. resetting passwords, managing user roles and assigning system notifications).

**REPORTS**
Choose this tab to request available reports.

**On this page:**
- Select My Account above to change your NMLS user settings.
- Select Invoices above to view failed invoices or view payment history.

Access the Federal Registration page of the NMLS Resource Center for information regarding the registration process that can assist you in using NMLS to create and maintain Institution and MLO records to meet federal registration requirements.

**NOTE:** NMLS is the system of record for all information contained within it. Any actions you take on NMLS are governed by the User Agreement and your legal obligations to the regulatory agencies participating in NMLS.
MU1R Filing and Payment

Form Filing Home

This section of MU1R allows you to complete, amend and/or submit your institution’s record (Form MU1R) and mortgage loan origination (MLO) records (Form MU4R). Below is a list of actions available by sub-menu. Available actions/sub-menu items vary based on user roles assigned.

- **MU1R**
  Select this link above to complete, amend and/or submit a filing of your Institution’s record.

- **MU4R**
  Select this link above to complete, amend and/or submit a filing of an MLO record.

- **Institution Access**
  Select this link above to view a list of MLO records your institution has access to.

PRIVACY ACT STATEMENT: The information you provide will be used to register you as a residential mortgage loan originator employed by an institution regulated by the Board of Governors of the Federal Reserve System, Federal Deposit Insurance Corporation, the Office of Thrift Supervision, the National Credit Union Administration or the Farm Credit Administration (the Agencies). In order to do this, we may disclose your information consistent with the routine uses listed in the respective Privacy Act Notices for the BGCRS, FDIC, OTS, NCUA, and FCA, including for enforcement, statutory and regulatory purposes; to another federal agency or Reserve Bank; to a member of Congress; to the Department of Justice; a court, an adjudicative body or administrative tribunal; or for a party in litigation, to Federal, state and local and professional licensing boards, to contractors, agents and others and where security or confidentiality has been compromised. Specified information may also be shared with members of the public, other banking regulatory agencies, the National Mortgage Licensing System and Registry call center, the Financial Institution Regulatory Authority, state credit union regulators and state mortgage regulators. We are authorized to collect your information by the Secure and Fair Enforcement for Mortgage Licensing Act of 2008, 12 U.S.C. § 5106. In accordance with Executive Order 9397, the Agencies collect your Social Security Number to ensure that your records are accurate because other people may have the same name and birth date. In addition, the Agencies use your Social Security Number when we make requests for information about you from law enforcement. Furnishing the information requested is voluntary; however, your failure to provide any of the information requested may result in the Agencies’ inability to register you as a residential mortgage loan originator. You may be subject to a fine or imprisonment under 18 U.S.C. § 1001 for any false statements you make in connection with any information you provide concerning a registration.

OFFICE OF THE COMPTROLLER OF THE CURRENCY STATEMENT REGARDING SOLICITATION OF SOCIAL SECURITY ACCOUNT NUMBERS

As authorized by Executive Order 9397, the Office of the Comptroller of the Currency (“OCC”) requests your Social Security Number to assist in the maintenance of accurate records in circumstances where other people may have the same names and birth dates. Your Social Security number may also be used by the OCC when it seeks information about you from other agencies or persons. Furnishing your social security number is voluntary. However, your failure to provide this information may result in the inability of the OCC to register you as a residential mortgage loan originator. Any registration that is required under regulations implementing the Secure and Fair Enforcement for Mortgage Licensing Act of 2008, 12 U.S.C. § 5106. Additionally, if the social security number or other information you provide concerning your registration is false, you may be subject to a fine or imprisonment under 18 U.S.C. § 1001.
Pending Filings - Institution

If your Institution has a pending filing that has not been submitted, it is listed below. Click the link to complete and submit the filing. An Institution can have only one pending filing at a time. If no filing is listed below, click Create New Filing to enter your MUR Form to add or amend information for submission.

NOTE: Each time an Institution submits a completed filing, the filing is stored as the Institution’s current record and will be the source of information for the next filing.

There are no pending filings. Use the button below to create a new filing.
Identifying Information

Road Warrior Mortgage Company (29786) MU1R filing created 1/24/2011 by BinghamR.

Entity Information:
- Entity Name: Road Warrior Mortgage Company
- IRS Employer Identification Number: 39-4688369
- RSS ID: 5963447
- Are you a subsidiary? ☐
- Parent RSS ID:

Do you want to amend your legal name?
If yes, check here: ☐
New Entity Name:

Main address (Do not use a PO Box):
- Number & Street: 123 Main Street
- City: Rockville
- State: Maryland
- Country / Province: United States
- Postal Code: 20850

Business phone, fax and email address:
- Business Phone: 240-385-4404 950.999.9999
- Fax Line: 950.999.9999
- Email Address: carol.gym@firms.org

Mailing Address, if different from Main Address:
- PO Box or Number & Street:
- City:
- State:
- Country / Province:
- Postal Code:
One Time Payment

PAYMENT TERMS AND CONDITIONS

Thank you for using the Nationwide Mortgage Licensing System & Registry (NMLS) for your mortgage licensing or registration needs. Licensing and registration payment services on this website (the “Payment Site”) are provided by the State Regulatory Registry LLC (“SRR”), and are subject to these payment terms and conditions (these “Payment Terms”). To the extent that you use the Payment Site, these Payment Terms supplement, and are incorporated into, the user agreement (Applicant Agreement or Agency Agreement) applicable to you for your use of NMLS. It is important that you read carefully and understand these Payment Terms. You must agree to these Payment Terms prior to using the Payment Site to make payments to register for SAFE Mortgage Loan Originator Test Components, to apply for, amend or renew a license or registration, or for subscription fees. If you do not agree to these Payment Terms, please do not click “I agree” and do not use the Payment Site to make any payments. We reserve the right at any time to change these Payment Terms. Any changes we make will be effective immediately.

No Refunds or Changes. All fees paid through NMLS are non-refundable, non-changeable and non-transferable.

Making Payments. The Payment Site is solely provided to allow you and other users to make payments to register for SAFE Mortgage Loan Originator Test Components.
### MU1R Filing and Payment

#### One Time Payment

ALL NMLS SYSTEM PROCESSING FEES ARE NON-REFUNDABLE.

**Invoice Details**

- **Payment Amount:** $10.00  
- **Payment Date:** 01/24/2011  
- **User Id:** BrighamR

**Payment Type**

- Credit Card
- Bank Account

**Credit Card Information**

- **Credit Card Type:** MasterCard
- **Credit Card Number:** 5555555555554444
- **Credit Card Expiration:** Month 5 Year 2011
- **Card Security ID Number:** 123
- **Name on Credit Card:** Road Warrior Mortgage Company
- **Billing Address:** 123 Main Street
- **City:** Rockville
- **State:** MD
- **Zip Code:** 20859

- [ ] Save this payment information for future payments (note: information is saved for 12 months only)

**WARNING:** Once you click **Confirm and Submit**, your payment will be submitted. You will not have another chance to confirm payment.

**Important note about browser compatibility**

This site is only certified for the following web browsers: Internet Explorer version 5.0 and above, plus Mozilla Firefox 3 and above.
One Time Payment

Payment Confirmation
Thank you, your payment was successfully processed. Payment details are below. Please print a copy for your records.

Pay to the order of Nationwide Mortgage Licensing System & Registry

User Id: BinghamR
Payment Method: Credit Card
Payment Date: 01/24/2011
Amount: 10.00

Your Payment Confirmation Number is V18A2F4A80F5

Print this page for your records. Your bank or credit card statement will read "Mortgage License or Registration - NMLS" for this transaction. To view full invoice details, access the Invoice option from your Home tab and enter the Payment Confirmation Number provided above as your search criteria.

Print Finish
Filing Submission

Thank you for your submission. Your filing is being processed. Additional information will be available on this page once processing is complete.

If you made changes to your identifying information or Contact Employee and your institution holds any State licenses, please submit an MU1R filing to update the information on file with state regulators.
Filing Submission

Processing is complete.

View Invoice.

If you made changes to your identifying information or Contact Employee and your institution holds any State licenses, please submit an MUI filing to update the information on file with state regulators.
An MU1R filing for Road Warrior Mortgage Company (29706) has been successfully processed by NMLS and has been placed in an active status.

Filing details:

Filing ID: 73818
Filing Date: 1/24/2011
Submitted By: BinghamR

THIS COMMUNICATION IS INTENDED ONLY FOR THE USE OF THE INDIVIDUAL OR ENTITY TO WHICH IT IS ADDRESSED AND CONTAINS OR MAY CONTAIN INFORMATION THAT IS PRIVILEGED, CONFIDENTIAL OR EXEMPT FROM DISCLOSURE UNDER APPLICABLE LAW. IF THE READER OF THIS COMMUNICATION IS NOT THE INTENDED RECIPIENT (OR THE EMPLOYEE OR AGENT RESPONSIBLE FOR DELIVERING TO THE INTENDED RECIPIENT), YOU ARE HEREBY NOTIFIED THAT ANY DISSEMINATION, DISTRIBUTION, OR COPYING OF THIS COMMUNICATION IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, PLEASE DISREGARD AND DELETE THIS COMMUNICATION, AND DO NOT DISSEminate OR RETAIN ANY COPY OF THIS COMMUNICATION.
Filing Submission

Processing is complete.

View Invoice.

If you made changes to your identifying Information or Contact Employee and your Institution holds any State licenses, please submit an MUI filing to update the information on file with state regulators.
MU1R Filing and Payment

FEDERAL REGISTRY

View Institution | View Individual

COMPOSITE VIEW OF RECORDS IN THE NMLS

This section of NMLS allows you to access your Institution record (Form MU1R) and any mortgage loan originator (MLO) record (MUAR) in NMLS. You have authority to access, including historical filings:

View Institution
Select this link above to access the composite view for your Institution.

View Individual
Select this link above to access the composite view of an MLO.
View MU1R Status

<table>
<thead>
<tr>
<th>Road Warrior Mortgage Company (29706)</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Regulator</strong></td>
</tr>
<tr>
<td>Federal Deposit Insurance Corporation</td>
</tr>
</tbody>
</table>
Nationwide Mortgage Licensing System & Registry

Account Administration
Welcome to the Nationwide Mortgage Licensing System & Registry!

The Nationwide Mortgage Licensing System & Registry (NMLS) allows for the creation and maintenance of a single record (Form MU1R) for your Institution. Your Institution also can create and submit mortgage loan originator (MLO) filings (Form MUAR) and manage employment records for MLOs associated with your Institution. Below please find a list of actions available by tab. Available actions/tabs vary based on user roles assigned.

**FILING**
Choose this tab to complete, amend and/or submit MU1R filings for your Institution or any Federal MLO Registration filings (Form MUAR) for MLOs associated to your Institution. You also can view a list of MLO records your Institution has access to.

**TASKS**
Choose this tab to perform an MLO Batch Upload, view previous MLO Batch Upload files, including information regarding their disposition, or manage Employment Records. Users with the Finance Admin role should choose this tab to access and pay outstanding invoices for MUAR fees.

**COMPOSITE VIEW**
Choose this tab to view your Institution record or the record of any MLO associated with your Institution.

**ADMIN**
Choose this tab to perform administrative functions for your Institution's system users (e.g., resetting passwords, managing user roles and assigning system notifications).

**REPORTS**
Choose this tab to request available reports.

---

On this page:
- Select My Account above to change your NMLS user settings.
- Select Invoices above to reply failed invoices or view payment history.

Access the Federal Registration page of the NMLS Resource Center for information regarding the registration process that can assist you in using NMLS to create and maintain Institution and MLO records to meet federal registration requirements.

**NOTE:** NMLS is the system of record for all information contained within it. Any actions you take on NMLS are governed by the User Agreement and your legal obligations to the regulatory agencies participating in NMLS.

© 2011 SRR, LLC

Section 5-2
Account Administration Home Page

In this section of NMLS, any Account Administrator for your organization may manage accounts for other users within the organization. In addition, organization users who have been granted the “Manage Notification Contacts” role may access this tab to manage notifications contacts.

Multiple Account Administrators may exist for one organization and should be kept up to date. To add or remove an Account Administrator for your organization, contact the NMLS Call Center at (844) 389-4444. For more information on using these administration tools, view the Account Administration Navigation Guide.

The following actions are available for you to perform on accounts for other users within your organization by selecting the appropriate links on the navigation panel to the left. Non-account Administrators with the “Manage Notification Contacts” role will only have access to the “Manage Notifications” option.

Create Organization User
Use this option to create an account for a new user within your organization.

Manage Roles
Use this option to add or remove roles assigned to an existing user within your organization.

Manage Notifications
Use this option to add, modify, or remove system users for your organization that should receive or are currently receiving notification emails regarding system activity.

Modify Account
Use this option to modify administrative information for existing users within your organization.

Unlock Account
Use this option to unlock an account for a user within your organization. A user’s account will be locked out if they enter an incorrect password five times consecutively. Once an account is locked, it must be unlocked before the user will be able to access it.

Enable/Disable Account
Use this option to enable or disable an account for a user within your organization. You may choose to disable an account if a user is on extended leave or temporarily assigned to a different project. If a user has permanently left your organization, you should delete the user account.

Delete Account
Use this option to permanently delete an account for a user within your organization.

Reset Password
Use this option to reset the password on an account for a user within your organization. This will send the user an email with a new temporary password to access the system.

Request Filing Authority
Use this option to request the filing authority to create and submit filings under a different regulatory authority.
Create an Organization User Account

Organization Name: Road Warrior Mortgage Company

Organization ID: 23706

First Name: Jane

Middle Name:

Last Name: Doe

Phone Number: 555-555-5555

Email Address: jane@fwm.org

Confirm Email Address: jane@fwm.org

Next
Create an Organization User Account

You are about to create the following organization user account:

Organization Name: Road Warrior Mortgage Company
Organization ID: 29706
Administrator Account: No
First Name: Arthur
Middle Name:
Last Name: Clark
Phone Number: 555-555-5555
Email Address: kings@firma.org
Selected Roles: View individual composite
View Institution Composite

If you need to make any changes, click the Previous button. Otherwise, click the Finish button to create the account.
Create an Organization User Account

A new account with user name 'ClarkIA' has been created. Separate emails containing the user name and password have been sent from NMLS_NotificationsTraining@statemorgageregistry.com to kings@fme.org.

Upon receipt of your user name and password, you can log into the NMLS.
### Account Administration

#### Search Results

<table>
<thead>
<tr>
<th>Last Name</th>
<th>First Name</th>
<th>Middle Name</th>
<th>Administrator</th>
<th>Expiration Date</th>
</tr>
</thead>
<tbody>
<tr>
<td>Bingham</td>
<td>Ryan</td>
<td>Y</td>
<td>1/21/2012</td>
<td></td>
</tr>
<tr>
<td>Bow</td>
<td>Ben</td>
<td></td>
<td>1/25/2012</td>
<td></td>
</tr>
<tr>
<td>Clarke</td>
<td>Arthur</td>
<td></td>
<td>1/25/2012</td>
<td></td>
</tr>
</tbody>
</table>
Manage Roles

BovaB (29706)

Notifications
☐ Manage Notification Contacts

Federal Filings
☐ Create and Submit MUAR Filings
☐ Pay MUAR Fees
☐ View MUAR Record Access

Tasks
☐ Perform MUAR Batch Upload
☐ Pay MUAR Fees
☐ View MUAR Record Access

Composite View
☐ View Individual Composite
☐ View Institution Composite
☐ View Confidential Information
☐ View CBC Result
☐ View RAP Sheet

Accounting
☐ Financial Admin

Reporting (Federal Registry)
☐ Manage Reports

Apply Cancel
Manage Notifications

The following notifications have no enabled notification contact assigned to receive them:
- Individual Access Granted
- Individual Access Revoked
- MUAR Filing Activity
- Employment Record(s) Terminated or Withrawn
- Employment Records Pending Confirmation
- MUAR Filing Processed
- MUAR Filing Requires Payment
- Federal MILO Registration Status Change
- Fingerprint Record Rejected as Ineligible or Rejected
- Ineligible Status Change

This section of the NMLS allows you to add, modify, or delete notification contacts for your organization. System notifications are designed to alert you via email when certain actions have been taken on any record in NMLS that is associated with your organization. Any individual with an active NMLS user account for your organization may be designated to receive any of the available system notifications. More than one notification contact can be designated to receive any given notification. The list below shows all notification contacts currently designated to receive notifications for your organization. Select to edit an existing notification contact or select the Add New Contact button below to designate a new notification contact.

Notification Contacts

No Notification Contacts Existed

Add New Contact

© 2011 SRR, LLC
Manage Notifications

- The following notifications have no enabled notification contact assigned to receive them:
  - Individual Access Granted
  - Individual Access Revoked
  - MU4R Filing Activity
  - Employment Record(s) Terminated or Withdrawn
  - Employment Records Pending Confirmation
  - MU4R Filing Processed
  - MU4R Case Requires Payment
  - Federal MLO Registration Status Change
  - Fingerprint Record Returned as Eligible or Rejected
  - Invoice Status Change

Contact Information

<table>
<thead>
<tr>
<th>Contact Name</th>
<th>Email Address</th>
<th>Phone Number</th>
<th>Contact Status</th>
</tr>
</thead>
<tbody>
<tr>
<td>Boxa, Ben</td>
<td><a href="mailto:kings@firma.org">kings@firma.org</a></td>
<td>555-555-5555</td>
<td>Enabled/Disabled</td>
</tr>
</tbody>
</table>

Company

- Delivery Method: Notification
  - Email: Individual Access Granted
  - Email: Individual Access Revoked
  - Email: MU4R Filing Activity
  - Email: Employment Record(s) Terminated or Withdrawn
  - Email: Employment Records Pending Confirmation

Individual

- Delivery Method: Notification
  - Email: MU4R Filing Processed
  - Email: MU4R Case Requires Payment
  - Email: Federal MLO Registration Status Change
  - Email: Fingerprint Record Returned as Eligible or Rejected

General

- Delivery Method: Notification
  - Email: Invoice Status Change

Save Cancel
Enable/Disable User Account

BovaB (29706)

Account is currently enabled.

Username: BovaB
Organization Class: Company
Organization Name: Road Warrior Mortgage Company
  Organization ID: 29706
  First Name: Bm
  Middle Name: 
  Last Name: Bova
Email Address: kings@frra.org
Phone Number: 555-655-5555

Enable Account  New Search
Request Filing Authority

Choose one or more filing authorities your company would like to request. Note: Only one filing authority may be available for selection.

☐ State: Non-Depositary

Next