Nationwide Mortgage Licensing System & Registry

Managing Employment
Managing Employment

Form Filing Home

This section of NMLS allows you to complete, amend and/or submit your institution's record. Form MU1R and mortgage loan originator (MLO) records (Form MU4R). Below is a list of actions available by sub-menu. Available actions/sub-menu items vary based on user roles assigned.

MU1R
Select this link above to complete, amend and/or submit a filing of your institution's record.

MU4R
Select this link above to complete, amend and/or submit a filing of an MLO record.

Institution Access
Select this link above to view a list of MLO records your institution has access to.

PRIVACY ACT STATEMENT: The information you provide will be used to register you as a residential mortgage loan originator employed by an institution regulated by the Board of Governors of the Federal Reserve System, Federal Deposit Insurance Corporation, the Office of Thrift Supervision, the National Credit Union Administration or the Farm Credit Administration (the "Agencies"). In order to do this, we may disclose your information consistent with the routine uses listed in the respective Privacy Act Notices for the BOPFRS, FDIC, OTS, NCUA, and FCA, including for enforcement, statutory and regulatory purposes; to another federal agency or Reserve Bank; to a Member of Congress; to the Department of Justice, a court, an adjudicative body or administrative tribunal; or to a party in litigation; to Federal, state and local and professional licensing boards; to contractors, agents, and others; and where security or confidentiality has been compromised. Specified information may also be shared with members of the public, other banking regulatory agencies, the National Mortgage Licensing System and Registry call center, the Financial Institution Regulatory Authority, state credit union regulators and state mortgage regulators. We are authorized to collect your information by the Secure and Fair Enforcement for Mortgage Licensing Act of 2008, 12 U.S.C. § 9109. In accordance with Executive Order 9997, the Agencies collect your Social Security Number to ensure that their records are accurate because other people may have the same name and birth date. In addition, the Agencies use your Social Security Number when we make requests for information about you from law enforcement. Furnishing the information requested is voluntary; however, your failure to provide any of the information requested may result in the Agencies' inability to register you as a residential mortgage loan originator. You may be subject to a fine or imprisonment under 18 U.S.C. § 1001 for any false statements you make in connection with any information you provide concerning a registration.

OFFICE OF THE COMPTROLLER OF THE CURRENCY: STATEMENT REGARDING SOLICITATION OF SOCIAL SECURITY ACCOUNT NUMBERS

As authorized by Executive Order 9997, the Office of the Comptroller of the Currency ("OCC") requests your Social Security Number to assist in the maintenance of accurate records in circumstances where other people may have the same names and birth dates. Your social security number may also be used by the OCC when it seeks information about you from other agencies or persons. Furnishing your social security number is voluntary. However, your failure to provide this information may result in the inability of the OCC to register you as a residential mortgage loan originator, a registration that is required under regulations implementing the Secure and Fair Enforcement for Mortgage Licensing Act of 2008, 12 U.S.C. § 9106. Additionally, if the social security number or other information you provide concerning your registration is false, you may be subject to a fine or imprisonment under 18 U.S.C. § 1001.
Tasks Home

This section of NMLS allows you to submit MLO batch files, manage employment records and pay MUAR invoices. Below is a list of actions available by sub-menu. Available actions/sub-menu items vary based on user roles assigned.

MLO Batch Upload
Select this link to view previously submitted MLO batch files or submit a new batch file.

Employment Management
Select this link to confirm, reject, request connection to or terminate employment records.

MUAR Payment
Select this link to submit payment for unpaid MUAR invoices for any of your institution's system users.
Employment Management Home

Employment Management

This section allows you to manage mortgage loan originator (MLO) employment records with your institution.

Manage Employment Records Pending Confirmation
Choose this option on the left-hand navigation panel to confirm, reject or request corrections to employment records pending confirmation.

Terminate Employment Records
Choose this option on the left-hand navigation panel to terminate confirmed employment records

Recall Correction Request
Choose this option on the left-hand navigation panel to cancel a correction request.
Manage Employment Records Pending Confirmation

The list below displays employment records for MLOs requiring confirmation by your Institution. Employment records are not eligible for confirmation if (i) a start date has not been provided; (ii) the MLO has not yet submitted fingerprints; or (iii) the institution does not have an active MLUR filing. Select one or more checkboxes below, click the checkbox next to the attestation language and click Attest and Confirm to confirm the selected employment records. Select or next to a specific employment record to review, edit, confirm, reject or request a correction to the record.

Filtration Options

<table>
<thead>
<tr>
<th>NMLS ID</th>
<th>Work Location</th>
<th>Employment Start Date</th>
<th>Group Name</th>
<th>Submission Date</th>
<th>CBC Result</th>
</tr>
</thead>
<tbody>
<tr>
<td>30046</td>
<td>123 Main Street Baltimore, MD 21234</td>
<td>1/3/2011</td>
<td>Smith, James</td>
<td>1/28/2011</td>
<td>Clear</td>
</tr>
<tr>
<td>30122</td>
<td>123 Main Street Rockville, MD 20850</td>
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<td>Confirmed</td>
<td>1/31/2011</td>
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</tr>
<tr>
<td>30123</td>
<td>123 Main Street Rockville, MD 20850</td>
<td>11/1/2010</td>
<td>Rapp, Four</td>
<td>1/31/2011</td>
<td>RAP</td>
</tr>
<tr>
<td>30125</td>
<td>123 Main Street Rockville, MD 20850</td>
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<td>Rapp, One</td>
<td>1/31/2011</td>
<td>RAP</td>
</tr>
<tr>
<td>30126</td>
<td>123 Main Street Rockville, MD 20850</td>
<td>11/1/2010</td>
<td>Rapp, One</td>
<td>1/31/2011</td>
<td>Rejected</td>
</tr>
</tbody>
</table>

I, Ryan Bingham, President of Road Warrior Mortgage Company, (Federal agency-regulated institution) on this date Tuesday, February 01, 2011 certify that I executed this form on behalf, and with the authority, of said Federal-agency regulated institution and that:

1. To the best of my knowledge and belief, information on whether each MLO selected for confirmation is an employee with said Federal-agency regulated institution listed above is current, true, accurate and complete as of Tuesday, February 01, 2011.

2. I understand that any misrepresentation or omission of a material fact may subject me to legal sanctions provided by 18 USC 1001 and may be grounds for other administrative and/or criminal action against me and/or the Federal-agency-regulated institution; and

3. Said Federal-agency regulated institution will notify the Registry of the date the Regisrant ceases employment with the said Federal-agency regulated institution listed above, pursuant to applicable law.

I certify that I agree to the above.
Manage Employment Records Pending Confirmation

The list below displays employment records for MLOs requiring confirmation by your institution. Employment records are not eligible for confirmation if (i) a start date has not been provided, (ii) the MLO has not yet submitted fingerprints, or (iii) the institution does not have an active MUIR filing. Select one or more checkboxes below, click the checkbox next to the attestation language and click Attest and Confirm to confirm the selected employment records. Select off next to a specific employment record to review, edit, confirm, reject or request a correction to the record.

Filtering Options

<table>
<thead>
<tr>
<th>Filtering Option</th>
<th>Description</th>
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</thead>
<tbody>
<tr>
<td>NMLS ID</td>
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<tr>
<td>Last Name</td>
<td>Submitted To Date:</td>
</tr>
<tr>
<td>Group Name</td>
<td>Include Only Reports With CBC Results</td>
</tr>
<tr>
<td>Work Location</td>
<td>State:</td>
</tr>
<tr>
<td>City:</td>
<td>Zip Code:</td>
</tr>
<tr>
<td>State:</td>
<td></td>
</tr>
<tr>
<td>Zip Code:</td>
<td></td>
</tr>
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</table>

<table>
<thead>
<tr>
<th>Select All</th>
<th>Unselect All</th>
</tr>
</thead>
<tbody>
<tr>
<td>Howard Smith Gartes</td>
<td>30045</td>
</tr>
<tr>
<td>123 Main Street, Baltimore, MD, 21234</td>
<td>1/3/2011</td>
</tr>
<tr>
<td>1/28/2011</td>
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<tr>
<td>Four Rapp Confirm</td>
<td>30123</td>
</tr>
<tr>
<td>123 Main Street, Rockville, MD, 20841</td>
<td>1/2/2011</td>
</tr>
<tr>
<td>Confirm</td>
<td>1/31/2011</td>
</tr>
<tr>
<td>RAP</td>
<td></td>
</tr>
<tr>
<td>One Rapp Terminate</td>
<td>30227</td>
</tr>
<tr>
<td>123 Main Street, Rockville, MD, 20850</td>
<td>11/1/2010</td>
</tr>
<tr>
<td>Tom</td>
<td>1/31/2011</td>
</tr>
<tr>
<td>RAP</td>
<td></td>
</tr>
<tr>
<td>One Rapp Reject</td>
<td>30225</td>
</tr>
<tr>
<td>123 Main Street, Rockville, MD, 20850</td>
<td>11/1/2010</td>
</tr>
<tr>
<td>Reject</td>
<td>1/31/2011</td>
</tr>
</tbody>
</table>

I, Ryan Bingham, a Road Warrior Mortgage Company (Federal agency-regulated institution) on this date Tuesday, February 01, 2011, certify that I executed this form on behalf, and with the authority, of said Federal-agency regulated institution and that:

1. To the best of my knowledge and belief, information on whether each MLO selected for confirmation is an employee of said Federal-agency regulated institution listed above is current, true, accurate and complete as of Tuesday, February 01, 2011. 
2. I understand that any misrepresentation or omission of a material fact may subject me to legal sanctions provided by 18 USC 1001 and may be grounds for other administrative and/or criminal action against me and/or the Federal-agency regulated institution and:
3. Said Federal-agency regulated institution will notify the Registry of the date the Registrant ceases employment with the said Federal-agency regulated institution listed above, pursuant to applicable law.

I certify that I agree to the above.

Attest and Confirm
Managing Employment

This record was in the Records Pending Confirmation.

---

From: NMLS_NotificationsTraining@distatemortgageregistry.com
To: Brade, Yvette
Cc: 
Subject: Federal MLO Registration status has changed for Three Confirm (30122)

The Federal MLO Registration status for Three Confirm (30122) has changed. See below for further details.

Primary Federal Regulator: Federal Deposit Insurance Corporation
Previous Registration Status: Pending
Current Registration Status: Active
Registration Status Date: 2/1/2011

View the Registration Status Quick Guide for tips on viewing status through NMLS.

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THIS COMMUNICATION IS INTENDED ONLY FOR THE USE OF THE INDIVIDUAL OR ENTITY TO WHICH IT IS ADDRESSED AND CONTAINS OR MAY CONTAIN INFORMATION THAT IS PRIVILEGED, CONFIDENTIAL OR EXEMPT FROM DISCLOSURE UNDER APPLICABLE LAW. If the reader of this communication is not the intended recipient (or the employee or agent responsible for delivering to the intended recipient), you are hereby notified that any dissemination, distribution, or copying of this communication is strictly prohibited. If you have received this communication in error, please disregard and delete this communication, and do not disseminate or retain any copy of this communication.
Managing Employment

This record was not listed in the Records Pending Confirmation.

| From: | NMLS_NotificationsTraining@statemortgageregistry.com |
| To: | Brade, Yvette |
| Cc: | |
| Subject: | Federal MLO Registration status has changed for One Auto Confirm (30120) |

The Federal MLO Registration status for One Auto Confirm (30120) has changed. See below for further details:

- **Primary Federal Regulator:** Federal Deposit Insurance Corporation
- **Previous Registration Status:** Pending
- **Current Registration Status:** Active
- **Registration Status Date:** 1/30/2011

View the [Registration Status Quick Guide](mailto:Registration%20Status%20Quick%20Guide) for tips on viewing status through MMLS.

THIS COMMUNICATION IS INTENDED ONLY FOR THE USE OF THE INDIVIDUAL OR ENTITY TO WHICH IT IS ADDRESSED AND CONTAINS OR MAY CONTAIN DISCLOSURE UNDER APPLICABLE LAW. If the reader of this communication is not the intended recipient (or the employee or agent responsible for delivering distribution, or copying of this communication is strictly prohibited. If you have received this communication in error, please disregard and delete this communication.
Manage Employment Records Pending Confirmation

Confirmation has been completed for the selected employment record(s).

The list below displays employment records for MLOs requiring confirmation by your institution. Employment records are not eligible for confirmation if: (i) a start date has not been provided; (ii) the MLO has not yet submitted fingerprints; or (iii) the Institution does not have an active MUUR filing. Select one or more checkboxes below, click the checkbox next to the attachment language and click Attest and Confirm to confirm the selected employment records. Select one next to a specific employment record to view, edit, confirm, reject or request a correction to the record.

Filtering Options

- Name
- Group Name
- Work Location

Filtering Options (continued)

<table>
<thead>
<tr>
<th>NMLS ID</th>
<th>Last Name</th>
<th>First Name</th>
<th>Group Name</th>
<th>Work Location</th>
<th>Employment Start Date</th>
<th>Submission Date</th>
</tr>
</thead>
<tbody>
<tr>
<td>123123</td>
<td>Smith</td>
<td>Howard</td>
<td>Reject</td>
<td>123 Main Street, Rockville, MD 20850</td>
<td>1/3/2011</td>
<td>1/28/2011</td>
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<tr>
<td>234234</td>
<td>Rapp</td>
<td>Four</td>
<td>Confirm</td>
<td>123 Main Street, Rockville, MD 20850</td>
<td>1/2/2011</td>
<td>1/31/2011</td>
</tr>
<tr>
<td>345345</td>
<td>Rapp</td>
<td>One</td>
<td>Terminated</td>
<td>123 Main Street, Rockville, MD 20850</td>
<td>1/1/2010</td>
<td>1/31/2010</td>
</tr>
</tbody>
</table>

[Attest and Confirm]

Ryan Bingham of Road Warrior Mortgage Company (Federal agency-regulated institution) on this date Tuesday, February 01, 2011 certify that I executed this form on behalf, and with the authority, of said Federal-agency regulated institution and that:

1. To the best of my knowledge and belief, information on whether each MLO selected for confirmation is an employee with said Federal-agency regulated institution listed above is current, true, accurate and complete as of Tuesday, February 01, 2011.

2. I understand that any misrepresentation or omission of a material fact may subject me to legal sanctions provided by 18 USC 1001 and may be grounds for either administrative and/or criminal action against me and/or the Federal-Agency-regulated institution; and

3. Said Federal-agency regulated institution will notify the Registry of the date the Registrant ceases employment with the said Federal-agency regulated institution listed above, pursuant to applicable law.

I certify that I agree to the above.

[Attest and Confirm]
Managing Employment

NOTICE: You have requested access to FBI-maintained Criminal History Record Information (CHRI), which includes information collected by criminal justice agencies on individuals consisting of identifiable descriptions and notations of arrests, indictments, informations, or other formal criminal charges (and any disposition arising therefrom) sentencing, correctional supervision, and release.

Under provisions set forth in 28 C.F.R. § 501.2, both governmental and nongovernmental entities authorized to submit fingerprints and receive FBI identification records must notify the individual fingerprinted that the fingerprints will be used to check the criminal history records of the FBI. Identification records obtained from the FBI may be used solely for the purpose requested and may not be disseminated outside the receiving institution. Only current CHRI may be used for employment determinations, as applicable. An individual should be presumed not guilty of any charge/defeat for which there is no final disposition stated on the record or otherwise determined. If the individual wishes to correct the record as it appears in the FBI’s Criminal Justice Information Services Division records system, the individual should be advised that the procedures to change, correct or update the record are set forth in 28 C.F.R. § 16.34.

Use of this information is governed by federal law and is subject to the following conditions:

(1) only statutorily authorized recipients may view CHRI;
(2) authorized recipients may view CHRI only for appropriate regulatory purposes;
(3) authorized recipients may not make an employment determination based on the information in the record until the subject has been afforded a reasonable time to correct or complete the information, or has declined to do so;
(4) authorized recipients may not disseminate CHRI absent appropriate statutory authority, and
(5) any person or entity violating federal regulations governing access to or use of CHRI may be subject to civil penalties.

Violation of federal regulations governing access to or use of CHRI may result in termination of an organization’s NMLS access to CHRI.

By clicking the checkbox below and proceeding to view this individual’s CHRI, I certify that I have read and understand all of the terms set forth above and that I am, or my employer is, an authorized recipient of CHRI pursuant to federal statutory authority and I am viewing this information for an appropriate regulatory purpose. I understand that I may use only current CHRI for employment determinations, as applicable. I agree to abide by all of the terms and conditions stated above and acknowledge that unauthorized use or dissemination of CHRI may result in the imposition of criminal and/or civil penalties and/or the termination of my organization’s NMLS access to CHRI.

☐ I certify that I am duly authorized by my employing Federal agency-regulated institution to view Criminal History Record Information and agree to abide by the NMLS policies governing use of this information.
The Report of Arrest and Prosecution opens in another window.
## Manage Employment Records Pending Confirmation

Confirmation has been completed for the selected employment record(s).

The list below displays employment records for MLOs requiring confirmation by your institution. Employment records are not eligible for confirmation if: (i) a start date has not been provided; (ii) the MLO has not yet submitted fingerprints; or (iii) the Institution does not have an active MUAR filing. Select one or more checkboxes below, click the checkbox next to the attenuation language and click **Attain and Confirm** to confirm the selected employment records. Select **Ref** next to a specific employment record to review, edit, confirm, reject or request a connection to the record.

### Filtering Options

**Submit From Date:**  
**Submitted To Date:**  
**Include only records with CBC results:**

<table>
<thead>
<tr>
<th>NMLS ID</th>
<th>Work Location</th>
<th>Employment Start Date</th>
<th>Group Name</th>
<th>Submission Date</th>
<th>CBC Result</th>
</tr>
</thead>
<tbody>
<tr>
<td>Howard Smith, 59416</td>
<td>123 Main Street, Baltimore, MD 21234</td>
<td>1/3/2011</td>
<td></td>
<td>1/28/2011</td>
<td></td>
</tr>
<tr>
<td>Four Rapp Confirm, 30120</td>
<td>123 Main Street, Rockville, MD 20841</td>
<td>1/2/2011</td>
<td>Confirm</td>
<td>1/31/2011</td>
<td>RAP</td>
</tr>
<tr>
<td>One Rapp Terminate, 40127</td>
<td>123 Main Street, Rockville, MD 20850</td>
<td>11/1/2010</td>
<td>Term</td>
<td>1/31/2011</td>
<td>RAP</td>
</tr>
<tr>
<td>One Rapp Reject, 36105</td>
<td>123 Main Street, Rockville, MD 20850</td>
<td>11/1/2010</td>
<td>Reject</td>
<td>1/31/2011</td>
<td></td>
</tr>
</tbody>
</table>

---

**Ryan Bingham** of Road Warrior Mortgage Company (Federal agency-regulated institution) on this date **Tuesday, February 01, 2011** certify that I executed this form on behalf and with the authority, of said Federal-agency regulated institution and that:

1. To the best of my knowledge and belief, information on whether each MLO selected for confirmation is an employee with said Federal-agency regulated institution listed above is current, true, accurate and complete as of **Tuesday, February 01, 2011**.

2. I understand that any misrepresentation or omission of a material fact may subject me to legal sanctions provided by 18 USC 1001 and may be grounds for either administrative and/or criminal action against me and/or the Federal-agency-regulated institution; and

3. Said Federal-agency regulated institution will notify the Registry of the date the Registrant ceases employment with the said Federal-agency regulated institution listed above; pursuant to applicable law.

**Select all | Unselect all**

- [ ] Howard Smith, 59416
- [X] Four Rapp Confirm, 30120
- [ ] One Rapp Terminate, 40127
- [ ] One Rapp Reject, 36105

**Attest and Confirm**

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Section 11-12
Composite View opens in another window.
View NMLS MLO Registration List

Two Rapp Confirm (30121)

Mortgage Loan Originators (MLOs) employed by federal agency-regulated institutions are registered with the Nationwide Mortgage Licensing System & Registry (NMLS). The MLO’s registration status reflected below is based on whether the MLO has met the federal MLO registration requirements and the employment status of the MLO with an institution regulated by the listed Regulator. History of the MLO’s registration status based on past employment with an institution regulated by the listed Regulator can be accessed by clicking on the Regulator name.

Institution(s) Regulated By       Status       Status Date
---------------------------------       ----------   ----------------
Federal Deposit Insurance Corporation  Pending  1/30/2011

Pending Status – MLO has not met federal MLO registration requirements and/or has a pending employment with an institution regulated by the listed Regulator.
Active Status – MLO has met the federal MLO registration requirements and has a confirmed employment with an institution regulated by the listed Regulator.
Inactive Status – MLO was previously employed by an institution regulated by the listed Regulator.
Canceled – MLO previously filed for a registration but did not complete the registration process.

Contact your employer with any questions regarding your registration status. Do not contact your regulator.
### View Employment Records Associated to Institutions in NMLS

#### Two Rapp Confirm (30121)

You can access information regarding current, terminated and rejected employment records associated to Institutions in NMLS below. To view the full employment history, access the individual Snapshot from the left-hand navigation panel.

<table>
<thead>
<tr>
<th>From</th>
<th>To</th>
<th>Employer Name</th>
<th>Work Location</th>
<th>Employer NMLS ID</th>
<th>Status</th>
<th>Status Date</th>
</tr>
</thead>
<tbody>
<tr>
<td>11/2010</td>
<td>Present</td>
<td>Road Warner Mortgage Company</td>
<td>Rockville, MD</td>
<td>29706</td>
<td>Pending Confirmation</td>
<td>1/30/2011</td>
</tr>
</tbody>
</table>

**Terminated**

There are no terminated employment records to display.

[View Rejected Employment Records]
Section 11-20

### Individual Snapshot

#### Two Repp Confirm (30121)

**Identifying Information**

- **Individual ID**: 30121
- **Name**: Two Repp Confirm
- **Gender**: Male
- **State of Birth**: Maryland
- **Country/Province of Birth**: United States
- **Business Phone**: 555-555-5555
- **Cell Phone**:
- **Fax Line**:
- **Email Address**: yvette.brade@kne.org

#### Employment History

<table>
<thead>
<tr>
<th>From</th>
<th>To</th>
<th>Employer</th>
<th>Location</th>
<th>Employee</th>
<th>NMLS ID</th>
<th>Status</th>
<th>Status Date</th>
</tr>
</thead>
<tbody>
<tr>
<td>11/2010</td>
<td>Present</td>
<td>Road Warner Mortgage Company</td>
<td>Rockville, MD</td>
<td>29706</td>
<td>Pending Confirmation</td>
<td>1/30/2011</td>
<td>Yes</td>
</tr>
</tbody>
</table>

#### Disclosure Questions

**Criminal Disclosure**

- Have you ever been convicted of or pled guilty to any criminal offense involving dishonesty, breach of trust, or money laundering or agreed to enter into a deferred prosecution or similar program in connection with the prosecution of such offense? Yes / No
- Have you ever been convicted of or pled guilty to any criminal offense involving dishonesty, breach of trust, or money laundering or agreed to enter into a deferred prosecution or similar program in connection with the prosecution of such offense? Yes / No

**Civil Judicial Disclosure**

- Has any federal or foreign court ever:
  - (1) enjoined you, or taken any other action against you, in connection with any financial services-related activity? No
  - (2) found that you were involved in a violation of any financial services-related statute(s) or regulation(s)? No
  - (3) dissolved, pursuant to a settlement agreement, a financial services-related civil action brought against you by a State, federal, or foreign financial regulatory authority? No

**Regulatory Action**

- (4) found you to have made a false statement or omission that was dishonest, unfair, or unethical? No
- (5) found you to have been involved in a violation of a financial services-related statute(s) or regulation(s)? No
- (6) found you to have been involved in a violation of a financial services-related statute(s) or regulation(s)? No
- (7) ordered you to stop engaging in a financial services-related activity? No
- (8) removed you from association with a financial services-related business or restricted your activities? No
- (9) barred you from association with any entity or its officers regulated by such agency or financial regulatory authority? No
- (10) issued a final order against you based on violations of any law or regulations that prohibit fraudulent, manipulative, or deceptive conduct? No
- (11) have you ever had an authorization to act as an attorney, accountant, or State or federal contractor that was revoked or suspended? No

**Consumer Arbitration/Litigation Disclosure**

- (12) have you ever been named as a respondent/defendant in a financial services-related consumer-initiated arbitration or civil litigation which: No
- (13) was settled for any amount? No

**NMLS MLO Registrations**

<table>
<thead>
<tr>
<th>Regulator</th>
<th>Status</th>
<th>Status Date</th>
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</thead>
<tbody>
<tr>
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</tbody>
</table>

**Criminal Background Check Information**

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<th>Date</th>
<th>Method</th>
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<tr>
<td></td>
<td></td>
<td>1/30/2011</td>
<td>Fingerprint</td>
<td>1/30/2011</td>
</tr>
</tbody>
</table>

Return to Field Selections
Managing Employment

Manage Employment Records Pending Confirmation

Confirmation has been completed for the selected employment record(s).

The list below displays employment records for MLOs requiring confirmation by your institution. Employment records are not eligible for confirmation if: (i) a start date has not been provided; (ii) the MLO has not yet submitted fingerprints; or (iii) the institution does not have an active MUUR filing. Select one or more checkboxes below, click the checkbox next to the attestation language and click Attest and Confirm to confirm the selected employment records. Select one next to a specific employment record to review, edit, confirm, reject or request a correction to the record.

Filtering Options

- [ ] Provide Only Records with CBC Results

Select All | Unselect All

<table>
<thead>
<tr>
<th>Individual Name</th>
<th>NMLS ID</th>
<th>Work Location</th>
<th>Employment Start Date</th>
<th>Group Name</th>
<th>Submission Date</th>
<th>CBC Result</th>
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</thead>
<tbody>
<tr>
<td>Howard Smith Grates</td>
<td>30046</td>
<td>123 Main Street Baltimore, MD 21234</td>
<td>1/3/2011</td>
<td></td>
<td>1/28/2011</td>
<td></td>
</tr>
<tr>
<td>Four Rapp Confirm</td>
<td>30123</td>
<td>123 Main Street Rockville, MD 20841</td>
<td>1/2/2011</td>
<td>Confirm</td>
<td>1/31/2011</td>
<td>RAP</td>
</tr>
<tr>
<td>One Rapp Terminate</td>
<td>20127</td>
<td>123 Main Street Rockville, MD 20850</td>
<td>11/1/2010</td>
<td>Term</td>
<td>1/31/2011</td>
<td>RAP</td>
</tr>
<tr>
<td>One Rapp Reject</td>
<td>30125</td>
<td>123 Main Street Rockville, MD 20850</td>
<td>11/1/2010</td>
<td>Reject</td>
<td>1/31/2011</td>
<td></td>
</tr>
</tbody>
</table>

Ryan Bingham of Road Warrior Mortgage Company (Federal agency-regulated institution) on this date Tuesday, February 01, 2011 certify that I executed this form on behalf, and with the authority of said Federal-agencyregulated institution and that:

1. To the best of my knowledge and belief, information on whether each MLO selected for confirmation is an employee with said Federal-agency regulated institution listed above is current, true, accurate and complete as of Tuesday, February 01, 2011.

2. I understand that any misrepresentation or omission of a material fact may subject me to legal sanctions provided by 18 USC 1001 and may be grounds for other administrative and/or criminal action against me and/or the Federal-agency regulated institution.

3. Said Federal-agency regulated institution will notify the Registry of the date the Registrant ceases employment with the said Federal-agency regulated institution listed above, pursuant to applicable law.

I certify that I agree to the above.

Attest and Confirm

© 2011 SRR, LLC  Section 11-21
Request Correction to Employment Record

Three Confirm (39122)

Enter an Explanation and click Request Correction to request a correction to this employment record. Click Cancel to return to the list of employment records pending confirmation without taking action on this record.

Work Location: 123 Main Street Rockville, MD 20850
Employment Start Date: 11/1/2010
Group Name: Confirm
Submission Date: 1/31/2011
Explanation: The end of term needs to be extended to 12/31/2011.

Information provided in this field will be communicated to the individual through an email notification when you complete the action. It also will be accessible by any system user with access to the individual's record.

Request Correction Cancel
MLO receives notification that a correction needs to be made.

From: NMLS_NotificationsTraining@statementpaperregistry.com
To: Yvette Ingle
Cc: NMLS_NotificationsTraining@statementpaperregistry.com
Subject: A correction to your MU4R is required

A correction to information submitted on the MU4R has been requested by your employer. See below for details:

Employer Name: Road Warrior Mortgage Company
Employer NMLS ID: 25705

Employment Record Submitted On: 1/31/2011
Work Location: 123 Main Street, Rockville, MD 20850
Employment Start Date: 11/1/2010

Correction Requested On: 1/31/2011
Reason for Correction Request: The date of birth needs to be corrected to 02/05/1955.

To amend/correct your record:
1. Log into NMLS. (Use the ‘Log into NMLS’ button in the upper right corner of the NMLS Resource Center.
2. Select the Filing tab.
3. Select Create a New Filing or select the existing pending filing by clicking the edit icon if a pending filing already exists.
4. Make the requested corrections by entering the appropriate section(s) of the form in your record.
5. Select Completeness Check and Submit on the left panel.
6. Resolve any outstanding completeness issues, if applicable.
7. Click the oath box and then the “Attest” button that appears at the bottom of the page to submit the filing.

Access Quick Guides for additional tips on amending your MU4R filing. Contact your employer if you need additional information regarding the correction request.
Manage Employment Records Pending Confirmation

A correction request has been sent to the NML.

The list below displays employment records for NMLs requiring confirmation by your institution. Employment records are not eligible for confirmation if: (i) a start date has not been provided; (ii) the NML has not yet submitted fingerprints; or (iii) the institution does not have an active MUIR filing. Select one or more checkboxes below, click the checkbox next to the attestation language and click Attest and Confirm to confirm the selected employment records. Select one of the specific employment records to review, edit, confirm, reject or request a correction to the record.

<table>
<thead>
<tr>
<th>Individual Name</th>
<th>NML ID</th>
<th>Work Location</th>
<th>Employment Start Date</th>
<th>Group Name</th>
<th>Submission Date</th>
<th>CBC Result</th>
</tr>
</thead>
<tbody>
<tr>
<td>Howard Smith</td>
<td>20046</td>
<td>123 Main St, Baltimore, MD 21234</td>
<td>1/2/2011</td>
<td>1/2/2011</td>
<td>1/26/2011</td>
<td>1/30/2011</td>
</tr>
<tr>
<td>Four Rapp Confirm</td>
<td>2127</td>
<td>123 Main St, Rockville, MD 20841</td>
<td>1/2/2011</td>
<td>Confirm</td>
<td>1/31/2011</td>
<td></td>
</tr>
<tr>
<td>One Rapp Terminate</td>
<td>20127</td>
<td>123 Main St, Rockville, MD 20890</td>
<td>1/1/2010</td>
<td>Team</td>
<td>1/31/2011</td>
<td></td>
</tr>
<tr>
<td>Two Reject</td>
<td>2126</td>
<td>123 Main St, Rockville, MD 20890</td>
<td>1/1/2010</td>
<td>Reject</td>
<td>1/31/2011</td>
<td></td>
</tr>
<tr>
<td>One Rapp Reject</td>
<td>20125</td>
<td>123 Main St, Rockville, MD 20890</td>
<td>1/1/2010</td>
<td>Reject</td>
<td>1/31/2011</td>
<td></td>
</tr>
</tbody>
</table>

I, Ryan Bingham of Road Warrior Mortgage Company (Federal agency-regulated institution) on this date Monday, January 31, 2011, certify that to the best of my knowledge and belief, information on whether each NML selected for confirmation is an employee with said Federal agency regulated institution listed above is correct, true, accurate and complete as of Monday, January 31, 2011:

(1) I understand that any misrepresentation or omission of a material fact may subject me to legal sanctions provided by 18 USC 1001 and may be grounds for other administrative and/or criminal action against me and/or the Federal Agency-regulated institution; and

(2) Said Federal-agency regulated institution will notify the Registry of the date the Registrant ceases employment with the said Federal-agency regulated institution listed above, pursuant to applicable law.

I certify that I agree to the above.
### Recall Correction Request

Below please find a list of employment records that have outstanding correction requests. Enter filter options and click Filter to narrow down the list based on the criteria provided. Click the corresponding employment record for the corresponding employment record. You can access the pending employment record after recall by selecting Manage Employment Records Pending Confirmation on the left-hand navigation panel.

<table>
<thead>
<tr>
<th>Individual Name</th>
<th>NMLS ID</th>
<th>Work Location</th>
<th>Employment Start Date</th>
<th>Group Name</th>
<th>Date Correction Requested</th>
</tr>
</thead>
<tbody>
<tr>
<td>Mr. Jones</td>
<td>30122</td>
<td>123 Main Street Rockville, MD 20850</td>
<td>11/1/2010</td>
<td>Confirm</td>
<td>1/31/2011</td>
</tr>
</tbody>
</table>
Recall Correction Request

The correction request has been recalled and the employment record can be accessed under Manage Employment Records Pending Confirmation.

Below please find a list of employment records that have outstanding correction requests. Enter filter options and click Filter to narrow down the list based on the criteria provided. Click to recall the correction request for the corresponding employment record. You can access the pending employment record after recall by selecting Manage Employment Records Pending Confirmation on the left-hand navigation panel.

Filtering Options

NMMLS ID:
Last Name:
Group Name:
Work Location:
City:
State:
Postal Code:
Date Correction Requested:
From:
To:

There are no outstanding correction requests. If applicable, change or clear your filter criteria and filter again.
MLO receives notification that a Correction Request has been recalled.

From: NMLS_NotificationsTraining@statemortgageregistry.com
To: Brade, Yvette
Cc:
Subject: A Correction Request has been recalled

A request for a correction to employment information has been recalled.

Institution Name: Road Warrior Mortgage Company
Institution NMLS ID: 29706

Employment Requested On: 1/31/2011
Work Location: 123 Main Street, Rockville, MD 20850
Employment Start Date: 11/1/2010

Correction Request Recalled On: 1/31/2011

No further action is necessary at this time. Contact your employer if you need further information.
Manage Employment Records Pending Confirmation

The list below displays employment records for MLOs requiring confirmation by your institution. Employment records are not eligible for confirmation if: (i) a start date has not been provided; (ii) the MLO has not yet submitted fingerprints; or (iii) the institution does not have an active MNU filing. Select one or more checkboxes below, click the checkbox next to the annotation language and click Attempt and Confirm to confirm the selected employment records. Select off next to a specific employment record to review, edit, confirm, reject or request a correction to the record.

<table>
<thead>
<tr>
<th>Individual Name</th>
<th>NMLS ID</th>
<th>Work Location</th>
<th>Employment Start Date</th>
<th>Group Name</th>
<th>Submission Date</th>
<th>CBC Result</th>
</tr>
</thead>
<tbody>
<tr>
<td>Howard Smith Grates</td>
<td>30246</td>
<td>123 Main Street Baltimore, MD 21234</td>
<td>1/3/2011</td>
<td>Confirm</td>
<td>1/28/2011</td>
<td></td>
</tr>
<tr>
<td>Three Confirm</td>
<td>30122</td>
<td>123 Main Street Rockville, MD 20850</td>
<td>11/1/2010</td>
<td>Confirm</td>
<td>1/31/2011</td>
<td></td>
</tr>
<tr>
<td>Four Rapp Confirm</td>
<td>30123</td>
<td>123 Main Street Rockville, MD 20841</td>
<td>1/2/2011</td>
<td>Confirm</td>
<td>1/31/2011</td>
<td></td>
</tr>
<tr>
<td>One Rapp Terminate</td>
<td>30127</td>
<td>123 Main Street Rockville, MD 20850</td>
<td>11/1/2010</td>
<td>Terminate</td>
<td>1/31/2011</td>
<td></td>
</tr>
<tr>
<td>Two Reject</td>
<td>30126</td>
<td>123 Main Street Rockville, MD 20850</td>
<td>11/1/2010</td>
<td>Reject</td>
<td>1/31/2011</td>
<td></td>
</tr>
<tr>
<td>One Rapp Reject</td>
<td>30125</td>
<td>123 Main Street Rockville, MD 20850</td>
<td>11/1/2010</td>
<td>Reject</td>
<td>1/31/2011</td>
<td></td>
</tr>
</tbody>
</table>

**Ryan Bingham of Road Warrior Mortgage Company** (Federal agency-regulated institution) on this date **Monday, January 31, 2011** certify that I executed this form on behalf, and with the authority, of said Federal-agency regulated institution and that:

1. To the best of my knowledge and belief, information on whether each MLO selected for confirmation is an employee with said Federal-agency regulated institution listed above is current, true, accurate and complete as of **Monday, January 31, 2011**.

2. I understand that any misrepresentation or omission of a material fact may subject me to legal sanctions provided by 18 USC 1001 and may be grounds for other administrative and/or criminal action against me and/or the Federal-agency regulated institution; and

3. Said Federal-agency regulated institution will notify the Registry of the date the Registrant ceases employment with the said Federal-agency regulated institution listed above, pursuant to applicable law.

☐ I certify that I agree to the above.

[Attempt and Confirm]
Manage Employment Record Pending Confirmation

Two Reject (30128)

Details of the selected employment record can be found below. Enter or edit an Employment Start Date on this page by entering the desired date and clicking Update Date. Employment records are not eligible for confirmation if (i) a start date has not been provided; (ii) the MLO has not yet submitted fingerprints; or (iii) the Institution does not have an active MUIR Fingerprint Confirm. Reject or Request Correction to take the desired action on the employment record.

Work Location: 123 Main Street Rockville, MD 20890
Employment Start Date: 1/1/2010
Group Name: Reject
Submission Date: 1/31/2011
CRC Result:

Confirm Reject Request Correction Return To List

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Reject Employment Record

Two Reject (30126)

Enter an Explanation and click Reject to reject this employment record. Click Cancel to return to the list of employment records pending confirmation without taking action on this record.

Work Location: 123 Main Street Rockville, MD 20850
Employment Start Date: 1/1/2019
Group Name: Reject
Submission Date: 1/1/2011
Explanation: This individual is not employed with my institution.

Information provided in this field will be communicated to the individual through an email notification when you complete the action. It will be visible to any system user with access to the individual’s record.

WARNING! Rejecting an employment record will move the employment record to the MUAR employment record history where it cannot be edited. In addition, your institution will no longer have access to the MUAR’s NMLS record. Once you reject the record, to reestablish the employment record you must create a new employment record by submitting an MUAR and paying the associated fees.

Reject Cancel
MLO receives notification that the employment has been rejected.

From: NMLS_NotificationsTraining@stateremortgageregistry.com
To: Bradlo, Yvette
Cc: 
Subject: Your employment record has been rejected by Road Warrior Mortgage Company (28706)

The following employment record submitted on your MU4R has been rejected by the Institution.

Institution Name: Road Warrior Mortgage Company
Institution NMLS ID: 29706

Employment Confirmation Requested On: 1/31/2011
Work Location: 123 Main Street, Rockville, MD 20850
Employment Start Date: 11/1/2010

Rejected On: 1/31/2011
Reason for Rejection: This individual is not employed with my institution.

Please contact Road Warrior Mortgage Company (28706) for more information.
Manage Employment Records Pending Confirmation

The specified employment record has been rejected and notice has been sent to the MLO.

The list below displays employment records for MLOs requiring confirmation by your institution. Employment records are not eligible for confirmation if: (i) a start date has not been provided, (ii) the MLO has not yet submitted fingerprints, or (iii) the institution does not have an active MUFL filing. Select one or more checkboxes below, click the checkbox next to the attestation language and click **Submit and Confirm** to confirm the selected employment records. Select **[ ]** next to a specific employment record to review, edit, confirm, reject or request a connection to the record.

**Filtering Options**
- **NMLS ID**
- **Last Name**
- **Group Name**
- **Work Location**
- **City**
- **State**
- **Postal Code**

<table>
<thead>
<tr>
<th>Individual Name</th>
<th>NMLS ID</th>
<th>Work Location</th>
<th>Employment Start Date</th>
<th>Employment Group Name</th>
<th>Employment Submission Date</th>
<th>CBC Result</th>
</tr>
</thead>
<tbody>
<tr>
<td>Howard Smith Grates</td>
<td>30065</td>
<td>123 Main Street Baltimore, MD 21224</td>
<td>1/3/2011</td>
<td>1/28/2011</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Four Rapp Confirm</td>
<td>30122</td>
<td>123 Main Street Rossville, MD 20841</td>
<td>1/2/2011</td>
<td>Confirm</td>
<td>1/31/2011</td>
<td>GAP</td>
</tr>
</tbody>
</table>

**Ryan Bingham** of **Road Warrior Mortgage Company** (Federal agency-regulated institution) on this date **Tuesday, February 01, 2011** certify that I executed this form on behalf, and with the authority, of said Federal-agency regulated institution and that:

1. To the best of my knowledge and belief, information on whether each MLO selected for confirmation is an employee with said Federal-agency regulated institution listed above is current, true, accurate and complete as of **Tuesday, February 01, 2011**.
2. I understand that any misrepresentation or omission of a material fact may subject me to legal sanctions provided by 18 USC 1001 and may be grounds for other administrative and/or criminal action against me and/or the Federal Agency-regulated institution; and
3. Said Federal-agency regulated institution will notify the Registry of the date the Registrant ceases employment with the said Federal-agency regulated institution listed above, pursuant to applicable law.

I certify that I agree to the above.

**Submit and Confirm**
Terminate Employment Records

All MLOs that have a confirmed employment record with your institution are listed below. Enter filter options and click Filter to narrow down the list based on the criteria provided. Click Terminate to enter an Employment End Date and terminate the corresponding employment record.

Filtering Options

- NMLS ID:
- Last Name:
- Registration Status:
- City:
- State:
- Postal Code:

<table>
<thead>
<tr>
<th>Individual Name</th>
<th>NMLS ID</th>
<th>Work Location</th>
<th>Registration Status</th>
<th>Employment Start Date</th>
</tr>
</thead>
<tbody>
<tr>
<td>Joe Auto Confirm</td>
<td>38126</td>
<td>123 Main Street Rockville, MD 20850</td>
<td>Active</td>
<td>11/1/2010</td>
</tr>
<tr>
<td>Terminate</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>John Rapp Confirm</td>
<td>38124</td>
<td>123 Main Street Rockville, MD 20850</td>
<td>Active</td>
<td>11/1/2010</td>
</tr>
<tr>
<td>Terminate</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
Managing Employment

Terminate an Employment Record

Two Rapp Confirm (30121)

Enter an Employment End Date and click Terminate to terminate the employment record. You will have the opportunity to review the details one more time prior to completing the termination of the employment record. Click Cancel to return to the list of confirmed employment records without terminating this employment record.

Work Location: 123 Main Street Rockville, MD 20850
Registration Status: Active
Employment Start Date: 11/1/2010
Employment End Date: 

WARNING! The MLO will not be authorized to conduct mortgage loan origination activities for your institution once you terminate their employment record. In addition, your Institution will no longer have access to the MLO's NMLS record. If you want to reestablish the employment record in the future you must create a new employment record by submitting an MUAR and paying the associated fees.

Terminate Cancel
Terminate an Employment Record

Two Rapp Confirm (30121)

You have indicated you want to terminate the employment record below. Please confirm the Employment End Date you entered is correct. You will not be able to edit the Employment End Date once you proceed.

Click Edit to edit the Employment End Date, Terminate to complete the termination process or Cancel to return to the list of confirmed employment records without terminating this employment record.

Work Location: 123 Main Street Rockville, MD 20850
Registration Status: Active
Employment Start Date: 11/1/2010
Employment End Date: 1/30/2011

WARNING: The MLO will not be authorized to conduct mortgage loan origination activities for your institution once you terminate their employment record. In addition, your institution will no longer have access to the MLO's NMLS record. If you want to reestablish the employment record in the future you must create a new employment record by submitting an MUHR and paying the associated fees.

[Buttons: Edit, Terminate, Cancel]
Terminate an Employment Record

Two Rapp Confirm (30121)

You have indicated you want to terminate the employment record below. Please confirm the Employment End Date you entered is correct. You will not be able to edit the Employment End Date once you proceed.

Click Edit to edit the Employment End Date. Terminate to complete the termination process or Cancel to return to the list of confirmed employment records without terminating this employment record.

Work Location: 123 Main Street Rockville, MD 20859
Registration Status: Active
Employment Start Date: 11/1/2010
Employment End Date: 12/31/2011

WARNING: The MLO will not be authorized to conduct mortgage loan origination activities for your institution once you terminate their employment record. In addition your institution will no longer have access to the MLO's NMLS record. If you want to re-establish the employment record in the future you must create a new employment record by submitting an MUHR and paying the associated fees.

Edit  Terminate  Cancel
View - Home

COMPOSITE VIEW OF RECORDS IN THE NMLS

This section of NMLS allows you access your Institution record (Form MUR) and any mortgage loan originator (MLO) record (MUR) in NMLS you have authority to access, including historical filings.

View Institution
Select this link above to access the composite view for your institution.

View Individual
Select this link above to access the composite view of an MLO.
Managing Employment

View Individual - Individual Search

To find existing MLO records, use one of the following search options: unique NMLS Individual ID or Name.

- **Individual ID:**
  - [ ] Search by Soundex

- **First Name:**
  - [ ] Search by Soundex

- **Last Name:**
  - [ ] Search by Soundex

An **Individual ID** search will return the MLO record that is an exact match to the search criteria entered. A **Name** search (Last Name required), by default, will return all individual records with a name that starts with the search criteria entered. You may also select the "Search by Soundex" option for First and/or Last Name to broaden the search to find like names with different spellings (e.g. John Smith vs. Jon Smith).

[Search] [Cancel] [Clear]
MLO receives notification that the employment has been terminated or withdrawn.

The following employment record on your MU4R has been terminated or withdrawn.

Institution ID: 29706
Name: Road Warrior Mortgage Company
Employment End Date: 1/30/2011
Employment Status: TERMINATED

THIS COMMUNICATION IS INTENDED ONLY FOR THE USE OF THE INDIVIDUAL OR ENTITY TO WHICH IT IS ADDRESSED AND CONTAINS OR MAY CONTAIN INFORMATION THAT IS PRIVILEGED, CONFIDENTIAL OR EXEMPT FROM DISCLOSURE UNDER APPLICABLE LAW. IF THE READER OF THIS COMMUNICATION IS NOT THE INTENDED RECIPIENT (OR THE EMPLOYEE OR AGENT RESPONSIBLE FOR DELIVERING TO THE INTENDED RECIPIENT), YOU ARE HEREBY NOTIFIED THAT ANY DISSEMINATION, DISTRIBUTION, OR COPYING OF THIS COMMUNICATION IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, PLEASE DISREGARD AND DELETE THIS COMMUNICATION, AND DO NOT DISSEminate OR RETAIN ANY COPY OF THIS COMMUNICATION.
Nationwide Mortgage Licensing System & Registry

Reports
Welcome to the Nationwide Mortgage Licensing System & Registry!

The Nationwide Mortgage Licensing System & Registry (NMLS) allows for the creation and maintenance of a single record (Form MU1R) for your Institution. Your institution can also create and submit mortgage loan originator (MLO) filings (Form MUAR) and manage employment records for MLOs associated with your institution.

Below please find a list of actions available by tab. Available actions/tabs vary based on user roles assigned.

**FILING**
Choose this tab to complete, amend and/or submit MU1R filings for your Institution or any Federal MLO Registration filings (Form MUAR) for MLOs associated with your Institution. You also can view a list of MLO records your institution has access to.

**TASKS**
Choose this tab to perform an MLO Batch Upload, view previous MLO Batch Upload files, including information regarding their disposition, or manage Employment Records. Users with the Financial Admin role should choose this tab to access and pay outstanding invoices for MUAR fees.

**COMPOSITE VIEW**
Choose this tab to view your institution record or the record of any MLO associated with your Institution.

**ADMIN**
Choose this tab to perform administrative functions for your institution's system users (e.g. resetting passwords, managing user roles and assigning system notifications).

**REPORTS**
Choose this tab to request available reports.

On this page:
- Select My Account above to change your NMLS user settings.
- Select Invoices above to repay failed invoices or view payment history.

Access the Federal Registration page of the NMLS Resource Center for information regarding the registration process that can assist you in using NMLS to create and maintain institution and MLO records to meet federal regulation requirements.

**NOTE:** NMLS is the system of record for all information contained within it. Any actions you take on NMLS are governed by the User Agreement and your legal obligations to the regulatory agencies participating in NMLS.

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The reports listed below have been requested by you. You may access reports with an 'Available' status by clicking on the 'Excel' icon on the left. A 'Pending' status indicates that the report is still in the queue to be run. Once a report is run, it will be available to the original requestor for 30 days.

To request a new report please click on the 'Request New Report' button below.

You may view sample reports and information regarding the availability of data for reports on the NMLS Resource Center.

A matching record was not found.
Select Report

Please select a report from the drop down list below. All reports will be generated in a CSV (Comma Separated Values) format, the results can be downloaded.

For details regarding reports please see the navigational guide by clicking on the question mark 🤔 in the upper right corner.

Report Name

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Report Requests

The reports listed below have been requested by you. You may access reports with an 'Available' status by clicking on the 'Excel' icon on the left. A 'Pending' status indicates that the report is still in the queue to be run. Once a report is run, it will be available to the original requestor for 30 days.

To request a new report please click on the Request New Report button below.

You may view sample reports and information regarding the availability of data for Reports on the NMLS Resource Center.

Filtering Options

Filtering Options

Filtering Options

Filtering Options

Federal Criminal Background Check (Institution) Pending 2/1/2011 1:02:26 AM

Institution Id: 27293

Request New Report

Request New Report

Request New Report

Request New Report

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Section 12-6
Reports

Provide Report Criteria

Report Name: Federal Individual Roster (Institution)
Employment Status Category:
- Active Employments
- Inactive Employments
- Pending Employments

[Request Report] [Cancel]
Report Requests

The reports listed below have been requested by you. You may access reports with an 'Available' status by clicking on the 'Excel' icon on the left. A 'Pending' status indicates that the report is still in the queue to be run. Once a report is run, it will be available to the original requestor for 30 days.

To request a new report please click on the Request New Report button below.

You may view sample reports and information regarding the availability of data for Reports on the NMLS Resource Center.

Filtering Options

<table>
<thead>
<tr>
<th>Report Name</th>
<th>Status</th>
<th>Requested Date</th>
<th>Generated Date</th>
<th>Criteria</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Employment Status: Active Employment, Inactive Employment, Pending Employment</td>
</tr>
</tbody>
</table>

Request New Report